Commonwealth of Pennsylvania
Insurance Department
Office of Corporate and Financial Regulation

	Continuing Care Retirement Community Disclosure Statement Checklist					
Statute/ Regulation	**Brief Description (see applicable statute or regulation for complete requirements)**	**Yes**	**No***	**N/A***	**Page #**	**Para. or Sec.**
40 P.S. § 3207(a)(1)	provider name and address and type of legal entity	☐	☐	☐		
40 P.S. § 3207(a)(2)	names and addresses of provider's controlling parties; description of interest in or occupation with provider	☐	☐	☐		
40 P.S. § 3207(a)(3)(A)	business experience of controlling parties	☐	☐	☐		
40 P.S. § 3207(a)(3)(B)	disclosure of related party contracts	☐	☐	☐		
40 P.S. § 3207(a)(3)(C)	disclosure of felony convictions, felony charges, civil actions, court orders, license suspensions, etc.	☐	☐	☐		
40 P.S. § 3207(a)(4)	disclosure of affiliation with religious, charitable, or other non-profit organization.	☐	☐	☐		
40 P.S. § 3207(a)(5)	location and description of facility	☐	☐	☐		
40 P.S. § 3207(a)(6)	services provided in basic contract and services available for extra charge	☐	☐	☐		
40 P.S. § 3207(a)(7)	description of all fees	☐	☐	☐		
40 P.S. § 3207(a)(8)	provisions for reserve funding and establishment of escrow account	☐	☐	☐		
40 P.S. § 3207(a)(9)	certified financials of provider as attachment	☐	☐	☐		
40 P.S. § 3207(a)(10)	statement of source and application of funds	☐	☐	☐		
40 P.S. § 3207(a)(11)	additional information	☐	☐	☐		
40 P.S. § 3207(a)(12) & 31 Pa. Code § 151.7(b)	cover page to include date and statement	☐	☐	☐		
40 P.S. § 3207(a)(13)	resident's agreement as attachment	☐	☐	☐		
31 Pa. Code § 151.7(c)	summary disclosure statement page	☐	☐	☐		
31 Pa. Code § 151.7(d)	requirements for financial statement provided pursuant to 40 P.S. § 3207(a)(9)	☐	☐	☐		
31 Pa. Code § 151.9(a)	drafting	☐	☐	☐		
31 Pa. Code § 151.9(b)	readability	☐	☐	☐		
31 Pa. Code § 151.9(c)	index	☐	☐	☐		
31 Pa. Code § 151.9(d)	pre-existing condition defined	☐	☐	☐		
31 Pa. Code § 151.9(e)	rescission	☐	☐	☐		
31 Pa. Code § 151.9(f)	notice of right to rescind as separate page attachment	☐	☐	☐		
* provide explanation on separate sheet						

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