



**Commonwealth of Pennsylvania
Insurance Department**

Office of Market Regulation

Continuing Care Retirement Community Disclosure Statement Checklist						
Statute/ Regulation	Brief Description (see applicable statute or regulation for complete requirements)	Yes	No*	N/A*	Page #	Para. or Sec.
40 P.S. § 3207(a)(1)	provider name and address and type of legal entity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(2)	names and addresses of provider's controlling parties; description of interest in or occupation with provider	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(3)	business experience of controlling parties	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(3)	disclosure of related party contracts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(3)	disclosure of felony convictions, felony charges, civil actions, court orders, license suspensions, etc.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(4)	disclosure of affiliation with religious, charitable, or other non-profit organization.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(5)	location and description of facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(6)	services provided in basic contract and services available for extra charge	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(7)	description of all fees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(8)	provisions for reserve funding and establishment of escrow account	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(9)	certified financials of provider as attachment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(10)	statement of source and application of funds	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(11)	additional information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(12) & 31 Pa. Code § 151.7(b)	cover page to include date and statement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
40 P.S. § 3207(a)(13)	resident's agreement and form of contract for continuing care, if separate from the resident's agreement, as attachment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
31 Pa. Code § 151.7(c)	summary disclosure statement page	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
31 Pa. Code § 151.7(d)	requirements for financial statement provided pursuant to 40 P.S. § 3207(a)(9)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
31 Pa. Code § 151.9(a)	drafting	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
31 Pa. Code § 151.9(b)	readability	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
31 Pa. Code § 151.9(c)	index	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
31 Pa. Code § 151.9(d)	pre-existing condition defined	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
31 Pa. Code § 151.9(e)	rescission	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
31 Pa. Code § 151.9(f)	notice of right to rescind as separate page attachment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
* provide explanation on separate sheet						