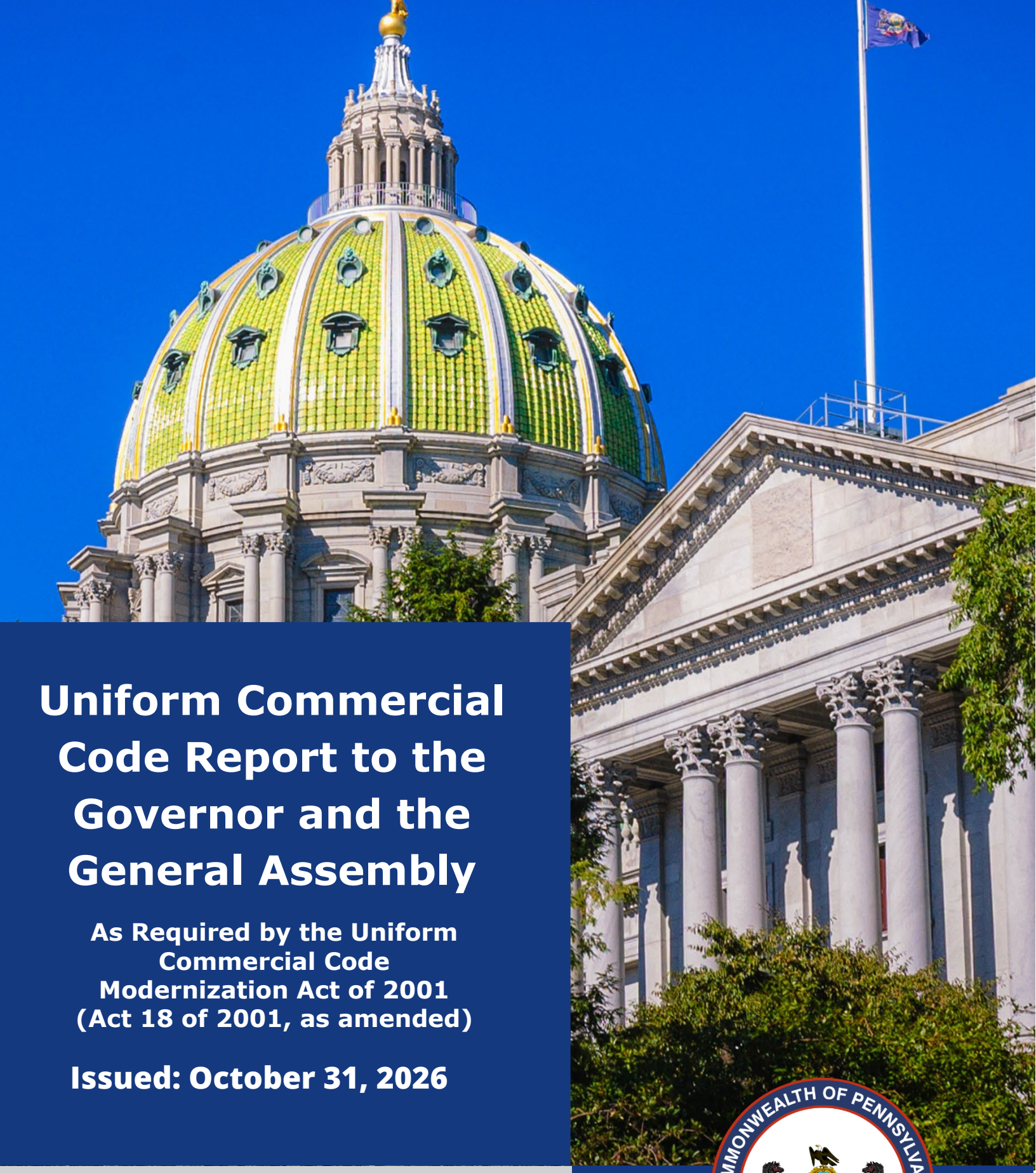


Uniform Commercial Code Report to the Governor and the General Assembly

As Required by the Uniform Commercial Code Modernization Act of 2001 (Act 18 of 2001, as amended)

Issued: October 31, 2026

COMMONWEALTH OF PENNSYLVANIA • DEPARTMENT OF STATE •

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Introduction

Pennsylvania adopted the Revised Article 9 of the Uniform Commercial Code (UCC) through Act 18 of 2001 (also known as the UCC Modernization Act), which became effective on July 1, 2001. All 50 states and the District of Columbia have enacted the Revised Article 9, with effective dates of no later than January 1, 2002.[1]

The intent of the UCC Modernization Act (Act) of 2001, 13 Pa.C.S. § 9101 et seq., was to simplify the filing of financing statements by establishing a uniform financing statement form that all jurisdictions are required to accept and which has the following common elements: debtor's name and address, secured party's name and address, type of organization, jurisdiction of organization, state organizational identification number, description of collateral, and description of real estate (for fixture filings).[2]

In addition, the Revised Article 9 introduced "one-stop filing" by permitting almost all financing statements to be filed in one centralized location at the state level. The only exception is financing statements for real estate-related collateral, which must still be filed at the local level.[3] Another major objective was to streamline filing office processes and procedures including, for example, encouraging filing offices to offer and use electronic filing methods that have been made simpler as the result of the elimination of the debtor signature requirement. [4]

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[1] Carl R. Ernst, *Uniform Commercial Code Revised Article 9 Alert- A State-by-State Guide to Searching and Filing under the New Revision*," vol. 1, p. 1-1-1.

[2] Nancy J. Shulow, "Revised Article 9 of the Uniform Commercial Code New Requirements for Financing Statements," *Pennsylvania Bar Association Quarterly*, April 2001, vol. LXXII, No. 2, p. 48.

[3] See 13 Pa.C.S. § 9501(a)(1)

[4] Ernst, p. 1-2-6.

Act 18 of 2001 requires the Department of State (Department) to report to the Governor and the General Assembly by October 31 of every even-numbered year. This status report discusses the operations of the Certification and Uniform Commercial Code Division of the Department's Bureau of Corporations and Charitable Organizations (Bureau) since the previous report filed on October 31, 2024. The report also provides statements addressing the extent to which the Department's proposed rules are in harmony with the rules adopted by other jurisdictions and with the most recent version of the Model Rules promulgated by the International Association of Commercial Administrator's (IACA).[5]

[5] This is the current name of the organization, which had previously been called the International Association of Corporate Administrators as reflected in Sections 9526 and 9527 of the Act, 13 Pa.C.S. § 9526 and § 9527.

Uniform Commercial Code Filing Office Operations

The Certification and Uniform Commercial Code Division (Division) of the Bureau is the central office for filing UCC financing statements for secured transactions. Generally, financing statements are filed by creditors to protect their financial interests when debtors have offered collateral to secure financing. There are four types of filings: (1) Initial Financing Statement (UCC-1); (2) Financing Statement Amendment (UCC-3); (3) Information Statement (formerly Statement of Claim and Correction Statement) (UCC-5); and (4) Filing Officer Statement. Financing statements list the secured party (lender) name and address, the name and address of the debtor (borrower), the collateral, file dates, and additional administrative information.

The bulk of UCC filings are either UCC-1 filings or UCC-3 filings. Each year, the Division receives 95,000–100,000 UCC-1 filings and 63,000–68,000 UCC-3 filings. Financing statements are generally effective for five years unless they are continued, terminated, or amended in some way. As of October 1, 2026, there were approximately 560,000 effective financing statements in the UCC database, with lapse dates through 2031.

On October 24, 2022, the Business Filing System (BFS) replaced the State-Wide Organization Registration Database (SWORD) public records database, which had been in use for UCC filings since August 3, 2015. BFS includes both hardware and software for UCC and business processing systems and successfully handles high-volume digital workflows. BFS has significantly improved processing times and the online filing customer experience.

Submissions of UCC financing statements and amendments continue to be made electronically through the Department's website or via paper submissions. Electronic filings are comprised of bulk filings through an external UCC application utilized by approved submitters and individual filings through completion and submission of the online forms on the Department's BFS portal. Bulk/online filings currently make up more than 94% of all UCC filings. Bulk filers may pay for filings from either an Automated Clearing House (ACH) account or a customer deposit account. Online filers may submit payment using either ACH or a credit card.

The Bureau began providing bulk UCC data and images through a web-based subscription service on March 23, 2006. As of October 1, 2026, 14 subscribers had executed annual

subscription agreements with the Bureau, through which UCC data and images are available for download weekly.

The following table illustrates the number and method of UCC filings for the most recent calendar years:

Year	Method of filing	Number of Filings	Percentage of total
2022			
	Paper	49,506	29.49%
	Bulk/ Online	118,377	70.51%
	Total	**167,883**	**100%**
2023			
	Paper	18,078	11.43%
	Bulk/ Online	139,963	88.56%
	Total	**158,041**	**100%**
2024			
	Paper	12,176	7.90%
	Bulk/Online	141,864	92.10%
	Total	**154,040**	**100%**
2025			
	Paper	10,963	5.45%
	Bulk/Online	190,004	94.55%
	Total	**200,967**	**100%**
2026 YTD (as of 10/01/26)			
	Paper	8,232	5.19%
	Bulk/Online	150,414	94.81%
	Total	**158,646**	**100%**

Compliance with the Requirements of Article 9

The Division has been successful in attaining compliance with the Revised Article 9 in the following significant ways:

- **Processing times are consistently at one to two days.** The Division's processing times remain at one to two days. This complies with Section 9523(e)(2) (relating to timeliness of filing office performance) of the Act, 13 Pa.C.S. § 9523(e)(2), which provides that, "A filing office…shall perform the acts required by subsections (a) through (d) not later than five business days after the filing office receives the request."

- **The records management system includes online services, which permit the public to: (1) file individual UCC-1 (initial financing statement) and UCC-3 (amendment) documents via the web; and (2) search, review and print UCC database information and copies through the Bureau's website.** This complies with the Act's general intent of simplifying and streamlining filing procedures and with the provisions of IACA's model rules that encourage filing offices to offer electronic filing methods.

- **The records management system allows for payment for online filings and services by debit card, credit card, or automated clearing house (ACH).** This complies with the Act's general intent of simplifying and streamlining the filing procedures and with the provisions of IACA's model rules that encourage filing offices to accept payment by debit credit card, credit card, and ACH methods.

- **The Division accepts the national UCC forms as promulgated by The American Law Institute and the National Conference of Commissioners on Uniform State Laws and makes the forms available.** This complies with Section 9521 (relating to uniform form of written financing statement and amendment) of the Act, 13 Pa.C.S. § 9521, which provides that a filing office which accepts written records may not refuse to accept a written initial financing statement or an amendment "in the form and format set forth in the final official text of the 1999 revisions of the Uniform Commercial Code promulgated by The American Law Institute and the National Conference of Commissioners on Uniform State Laws, except for a reason set forth in Section 9516(b) (relating to refusal to accept record; filing does not occur)." See Appendices for the national UCC forms.

- **The Division has a functional numbering system that includes a unique filing number that constitutes a check digit.** Filing numbers are generated using the four-digit year, two-digit month, two-digit day, followed by a running six-digit counter. This complies with Section 9519(b) (relating to file number) of the Act, 13 Pa.C.S. § 9519(b), which provides that, "Except as provided in subsection (i), a file number assigned after January 1, 2002, must include a digit which: (1) is mathematically derived from or related to the other digits of the file number; and (2) aids the filing office in determining whether a number communicated as the file number includes a single digit or transpositional error."

- **The Division's filing system: (1) employs a search logic that permits retrieval of UCC filings both by the name of the debtor and by the file number, and (2) provides for association and retrieval capabilities in accordance with the Act.** This complies with Section 9519(f) (relating to retrieval and association capability), 13 Pa.C.S. § 9519(f), that provides that, "The filing office shall maintain a capability: (1) to retrieve a record by the name of the debtor and by the file number assigned to the initial financing statement to which the record relates; and (2) to associate and retrieve with one another an initial financing statement and each filed record relating to the initial financing statement."

- **The Division's filing system identifies a debtor as an individual or organization.** This complies with the Act's general intent of simplifying and streamlining the filing procedures.

- **The Department promulgated a final regulation effective August 11, 2001, establishing UCC filing fees in order to remain revenue neutral to the amounts collected by all counties and the Department.** This complies with Section 9525(d) (relating to variation by regulation) of the Act, 13 Pa.C.S. § 9525(d), which requires the Department to promulgate regulations that establish UCC filing fees sufficient to generate revenue equivalent to the amounts collected by the counties from UCC filings in calendar year 2000 and the general fund from UCC filings in fiscal year 1999-2000 and that hold the general fund fiscally harmless and all of the counties fiscally harmless when taken in the aggregate. Since August 11, 2001, the fees for UCC filings made through the Division have been $84.00 per filing.[6]

The Division has surpassed the Revised Article 9 requirements by providing the following additional enhancements that further the Act's general intent of simplifying and streamlining the filing procedures:

- The Division's records management database incorporates a full-fledged imaging system through the scanning of all UCC filings, as well as improved workflow technology to reduce the handling of paper documents and maximize overall processing efficiency.[7]

- The Division's imaging system and enhanced database have enabled the Division to be more customer service-oriented by permitting it to better track the processing of filings and their acceptance or rejection status at any given time.

- The Division's records management database allows for better statistical information for research and data analysis purposes, including assessment of employee efficiency and tracking of the number of filings received, the number of filings accepted and rejected, and a breakdown of filings by type.

- The Division's records management database provides automated financial closeout processes and procedures to ensure improved tracking of funds received by the Bureau.

- The Division's records management database allows for public access to filing images via the Internet with online payment of a fee per document.

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[6]The fee for financing statements (for real estate-related collateral only) filed with the county recorder of deeds office was set at $95.00 per filing.

[7]All financing statements, except those for real estate-related collateral, are now filed at the state level rather than the local level.

Harassment, Strawman, and Authentication Filings

The filing of financing statements of questionable legitimacy is an ongoing problem encountered by the Division. In July 2023, the National Association of Secretaries of State (NASS) updated an informative report for state business filing agencies titled "State Strategies to Subvert Fraudulent Uniform Commercial Code Filings." The report recognized three types of filings with no legitimate basis under the UCC: harassment (or fraudulent) filings, strawman filings, and authentication filings.

Harassment/fraudulent filings are financing statements filed to harass individuals through the placement of what appear to be 'liens' on their assets as a response to a perceived injustice. Fraudulent filings are defined in 13 Pa.C.S. §9518(f) as filings as filings where no rational basis exists under 13 Pa.C.S. §9509 entitling the person to file the initial financing statement, and it appears that the person filed the initial financing statement with intent to annoy, harass, or harm the alleged debtor. In fraudulent filings, the alleged debtor is often a public official, a corporation, a bank, judges, prosecutors, or public defenders. Although these spurious claims are not legally effective, they may inhibit the alleged debtor from obtaining future credit and the victims may spend years battling the false claims.

Strawman filings are an attempt to defraud third parties into providing value for worthless instruments. Tell-tale signs of strawman filings include the secured party and debtor names and addresses are one and the same; the collateral includes Social Security, birth certificate, and other personal identification numbers; the debtor is identified as a transmitting utility when this is clearly not the case, or the transaction is designated as a public finance or manufactured home transaction when the designation is unmistakably contrived.

Authentication filings are filings that attempt to mislead third parties about the authenticity of the underlying document, often filed fraudulently in other jurisdictions and which are also of questionable legality. Typical language for authentication filings contains: "This is an in lieu of filing."

The source of harassment, strawman, and authentication filings is primarily individuals known as "freemen" or "sovereign citizens."[8] Disgruntled litigants and inmates also frequently resort to these tactics.[9] The provisions of Article 9 are not designed to prevent the filing of bogus or fraudulent financing statements. Revised Article 9 provides the Uniform Commercial Code Division with little or no discretion to reject a filing if it contains all the elements called for in 13 Pa.C.S. §9502 (relating to Contents of financing statement; record of mortgage as financing statement; time of filing financing statement) and does not meet one of the limited exceptions in 13 Pa.C.S. §9516 (relating to what constitutes filing; effectiveness of filing). The provision that is currently utilized to reject such financing statements is at 13 Pa.C.S. §9516(c)(1), when the record does not provide information that the filing office is able to read or decipher. The filing office rejects 70-80 harassment, strawman, and authentication filings annually for this reason. It will continue to do so until such time as legislation can be enacted to provide the Department with increased authority to reject or cancel illegitimate filings.[10] With regard to UCC online filing, the Bureau has ensured that filers are prevented from submitting online filings which contain certain Department-specified key words.

[8] Anti-Defamation League's Militia Watchdog Bulletin, "Old Wine, New Bottle: Paper Terrorism, Paper Scams and Paper Redemption," November 8, 1999, p. 1.

[9] National Association of Secretaries of State, "State Strategies to Subvert Fraudulent Uniform Commercial Code Filings," July 2023, p. 5.

[10] The NASS report details state laws that combat the problem of illegitimate filings with pre-filing administrative discretion to reject filings, post-filing administrative relief, post-filing expedited judicial relief, and post-filing criminal/civil penalties.

Presently, a victim of a fraudulent filing has the following two options available under the Pennsylvania Uniform Commercial Code, both of which can be costly and time-consuming:

1. file an Information Statement under 13 Pa.C.S. §9518(a) (relating to Claim concerning inaccurate or wrongfully filed record), accompanied by a fee of $84, which does not change the effectiveness of the initial financing statement or other filed record except if the requirements of 13 Pa.C.S. §9518(f) are met; or

2. request an administrative hearing before the Department under 13 Pa.C.S. §9518(f), in an effort to obtain a favorable ruling either as a result of the hearing or an appeal to Commonwealth Court that the initial financing statement was fraudulently filed, resulting in the Department filing an Information Statement to that effect.

The major advantage of filing a petition for a hearing before the Department, which is not an option provided for in the Revised Article 9 of the vast majority of states, is that it can result in the favorable outcome of having the Department file an Information Statement (formerly Correction Statement) declaring that the initial financing statement was fraudulent and is ineffective. There is no fee to request a hearing, and the individual could ultimately have the fraudulent record removed from the public record. However, it must also be noted that participation in the hearing process can place a considerable burden on the victim of the fraudulent filing. To date, the Department has issued 35 decisions determining that the initial financing statement in question was fraudulently filed. Nine of these decisions were appealed, with one appeal resulting in an affirmation of the Department's determination by the Pennsylvania Supreme Court and six appeals affirmed by the Commonwealth Court of Pennsylvania. The remaining two appeals are pending before the Commonwealth Court.

In accordance with 13 Pa.C.S. §9518(f)(1)(vi), the Department has made 17 referrals to the Office of Attorney General for criminal prosecution of fraudulent filers. Of the referrals acted upon, most have resulted in conviction, with sentences ranging from probation under a plea agreement to 8-16 years in state prison. It is hoped that as word of these convictions reaches the sovereign citizen/freeman community and correctional facilities, the prospect of these penalties will deter illegitimate and fraudulent UCC filings

Other State Rules

The Department's proposed regulations are in harmony with the rules of the 46 other states and the District of Columbia that have promulgated rules.

Model Rules

The Department's proposed rules are being modified so they will be based upon IACA's most recent Model Administrative Rules, last revised May 23, 2024, and will be in harmony with the Model Rules' provisions.

Conclusion

The Pennsylvania Department of State has fully implemented Revised Article 9, apart from finalizing filing office rules. The capabilities of the current filing system surpass Article 9 and Model Rule requirements. In addition, the Department has taken proactive measures to reject harassment, strawman, and authentication filings, while retaining the efficiency of the UCC filing system.

[redacted]

Appendix 1

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS

A. NAME & PHONE OF CONTACT AT SUBMITTER (optional)
B. E-MAIL CONTACT AT SUBMITTER (optional)
C. SEND ACKNOWLEDGMENT TO: (Name and Address)
SEE BELOW FOR SECURED PARTY CONTACT INFORMATION

Print Reset

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S NAME: Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 1b, leave all of item 1 blank, check here ☐ and provide the Individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

OR	1a. ORGANIZATION'S NAME				
	1b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)		SUFFIX
1c. MAILING ADDRESS	CITY	STATE	POSTAL CODE		COUNTRY

2. DEBTOR'S NAME: Provide only one Debtor name (2a or 2b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 2b, leave all of item 2 blank, check here ☐ and provide the Individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

OR	2a. ORGANIZATION'S NAME				
	2b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)		SUFFIX
2c. MAILING ADDRESS	CITY	STATE	POSTAL CODE		COUNTRY

3. SECURED PARTY'S NAME (or NAME of ASSIGNEE of ASSIGNOR SECURED PARTY): Provide only one Secured Party name (3a or 3b)

OR	3a. ORGANIZATION'S NAME				
	3b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)		SUFFIX
3c. MAILING ADDRESS	CITY	STATE	POSTAL CODE		COUNTRY

4. COLLATERAL: This financing statement covers the following collateral:

5. Check only if applicable and check only one box: Collateral is ☐ held in a Trust (see UCC1Ad, item 17 and Instructions) ☐ being administered by a Decedent's Personal Representative

6a. Check only if applicable and check only one box:

☐ Public-Finance Transaction ☐ Manufactured-Home Transaction ☐ A Debtor is a Transmitting Utility

6b. Check only if applicable and check only one box:

☐ Agricultural Lien ☐ Non-UCC Filing

7. ALTERNATIVE DESIGNATION (if applicable): ☐ Lessee/Lessor ☐ Consignee/Consignor ☐ Seller/Buyer ☐ Bailee/Bailor ☐ Licensee/Licensor

8. OPTIONAL FILER REFERENCE DATA:

FILING OFFICE COPY — UCC FINANCING STATEMENT (Form UCC1) (Rev. 07/01/23)

Instructions for UCC Financing Statement (Form UCC1)

Please type or print this form. Be sure it is completely legible. Forms with handwritten entries may be rejected. Read and follow all Instructions.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice.

Unless specifically required by applicable state law, DO NOT include social security numbers, driver's license numbers, financial account numbers or other non-public personally identifiable information anywhere on the form.

Form instructions are intended for paper forms. Electronic UCC filing parameters and instructions may differ from the

below instructions. Send completed form and any attachments to the filing office, with the required fee.

ITEM INSTRUCTIONS

A and B. To assist filing offices that might wish to communicate with filer, filer may provide information in item A and item B. These items are optional.

C. Complete item C if filer desires an acknowledgment sent to them.

1. Debtor's name. Carefully review applicable statutory guidance about providing the debtor's name. Enter only one Debtor name in item 1 -- either an organization's name (1a) or an individual's name (1b). If any part of the Individual Debtor's name will not fit in line 1b, check the box in item 1, leave all of item 1 blank, check the box in item 9 of the Financing Statement Addendum (Form UCC1Ad) and enter the Individual Debtor name in item 10 of the Financing Statement Addendum (Form UCC1Ad). Enter Debtor's correct name. Do not abbreviate words that are not already abbreviated in the Debtor's name. If a portion of the Debtor's name consists of only an initial or an abbreviation rather than a full word, enter only the abbreviation or the initial. If the collateral is held in a trust and the Debtor name is the name of the trust, enter trust name in the Organization's Name box in item 1a.

1a. Organization Debtor Name. "Organization Name" means the name of an entity that is not a natural person. A sole proprietorship is not an organization, even if the individual proprietor does business under a trade name. If Debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed public organic records to determine Debtor's correct name. Trade name is insufficient. If a corporate ending (e.g., corporation, limited partnership, limited liability company) is part of the Debtor's name, it must be included. Do not use words that are not part of the Debtor's name.

1b. Individual Debtor Name. "Individual Name" means the name of a natural person; this includes the name of an individual doing business as a sole proprietorship, whether or not operating under a trade name. The term includes the name of a decedent where collateral is being administered by a personal representative of the decedent. The term does not include the name of an entity, even if it contains, as part of the entity's name, the name of an individual. Prefixes (e.g., Mr., Mrs., Ms.) and titles (e.g., M.D.) are generally not part of an individual name. Indications of lineage (e.g., Jr., Sr., III) generally are not part of the individual's name, but may be entered in the Suffix box. Enter individual Debtor's surname (family name) in Individual's Surname box, first personal name in First Personal Name box, and all additional names in Additional Name(s)/Initial(s) box. If a Debtor's name consists of only a single word, enter that word in Individual's Surname box and leave other boxes blank.

For both organization and individual Debtors. Do not use Debtor's trade name, DBA, AKA, FKA, division name, etc. in place of or combined with Debtor's correct name; filer may add such other names as additional Debtors if desired (but this is neither required nor recommended).

For both organization and individual Debtors. Name variations may be optionally provided as additional debtors. Do not combine multiple names in one section. Provide each name variation and address as described in the instructions for item 2 below.

1c. Enter a mailing address for the Debtor named in item 1a or 1b.

2. Additional Debtor's name. If an additional Debtor is included, complete item 2, determined and formatted per Instruction 1. For additional Debtors, attach either Addendum (Form UCC1Ad) or Additional Party (Form UCC1AP) and follow Instruction 1 for determining and formatting additional names.

3. Secured Party's name. Enter name and mailing address for Secured Party or full Assignee who will be the Secured Party of record. For additional Secured Parties, attach either Addendum (Form UCC1Ad) or Additional Party (Form UCC1AP). Optional: Attach Addendum (Form UCC1Ad) giving Assignor Secured Party's name and mailing address in item 11.

4. Collateral. Use item 4 to indicate the collateral covered by this financing statement. If space in item 4 is insufficient, continue the collateral description in item 12 of the Addendum (Form UCC1Ad) or attach additional page(s) and incorporate by reference in item 12 (e.g., See Exhibit A).

Note: If this financing statement covers timber to be cut, covers as-extracted collateral, and/or is filed as a fixture filing, attach Addendum (Form UCC1Ad) and complete the required information in items 13, 14, 15, and 16. Consult applicable state UCC law for filing location.

5. If collateral is held in a trust or being administered by a decedent's personal representative, check the appropriate box in item 5. If more than one Debtor has an interest in the described collateral and the check box does not apply to the interest of all Debtors, the filer should consider filing a separate Financing Statement (Form UCC1) for each Debtor.

6a. If this financing statement relates to a Public-Finance Transaction, Manufactured-Home Transaction, or a Debtor is a Transmitting Utility, check the appropriate box in item 6a. If a Debtor is a Transmitting Utility and the initial financing statement is filed in connection with a Public-Finance Transaction or Manufactured-Home Transaction, check only that a Debtor is a Transmitting Utility.

6b. If this is an Agricultural Lien (as defined in applicable state's enactment of the Uniform Commercial Code) or if this is not a UCC security interest filing (e.g., a tax lien, judgment lien, etc.), check the appropriate box in item 6b and attach any other items required under applicable law.

7. Alternative Designation. If filer desires (at filer's option) to use the designations lessee and lessor, consignee and consignor, seller and buyer (such as in the case of the sale of a payment intangible, promissory note, account or chattel paper), bailee and bailor, or licensee and licensor instead of Debtor and Secured Party, check the appropriate box in item 7.

Optional Filer Reference Data. This item is optional and is for filer's use only to provide reference information the filer may find useful.

UCC FINANCING STATEMENT ADDENDUM

Appendix 2

FOLLOW INSTRUCTIONS

9. NAME OF FIRST DEBTOR: Same as line 1a or 1b on Financing Statement; if line 1b was left blank because Individual Debtor name did not fit, check here ☐

9a. ORGANIZATION'S NAME

OR

9b. INDIVIDUAL'S SURNAME

FIRST PERSONAL NAME

ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

Print | Reset

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

10. DEBTOR'S NAME: Provide (10a or 10b) only one additional Debtor name or Debtor name that did not fit in line 1b or 2b of the Financing Statement (Form UCC1) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name) and enter the mailing address in line 10c

10a. ORGANIZATION'S NAME

OR

10b. INDIVIDUAL'S SURNAME

INDIVIDUAL'S FIRST PERSONAL NAME

INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

10c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY

11. ☐ ADDITIONAL SECURED PARTY'S NAME or ☐ ASSIGNOR SECURED PARTY'S NAME: Provide only one name (11a or 11b)

11a. ORGANIZATION'S NAME

OR

11b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

11c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY

12. ADDITIONAL SPACE FOR ITEM 4 (Collateral):

13. ☐ This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS (if applicable)

14. This FINANCING STATEMENT:
☐ covers timber to be cut ☐ covers as-extracted collateral ☐ is filed as a fixture filing

15. Name and address of a RECORD OWNER of real estate described in item 16 (if Debtor does not have a record interest):

16. Description of real estate:

17. MISCELLANEOUS:

FILING OFFICE COPY — UCC FINANCING STATEMENT ADDENDUM (Form UCC1Ad) (Rev. 07/01/23)

Instructions for UCC Financing Statement Addendum (Form UCC1Ad)

Please type or laser-print this form. Be sure it is completely legible. Read and follow all Instructions; use of the correct name for the Debtor is crucial. Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice.

ITEM INSTRUCTIONS

9. **Name of first Debtor.** Enter name of first Debtor exactly as shown in item 1 of Financing Statement (Form UCC1) to which this Addendum relates. The name will not be indexed as a separate debtor. The Debtor name in this section is intended to cross-reference this Addendum with the related Financing Statement (Form UCC1).

   If the box in item 1 of the Financing Statement (Form UCC1) was checked because Individual Debtor name did not fit, the box in item 9 of this Addendum should be checked.

10. **Additional Debtor's name.** If this Addendum adds an additional Debtor, complete item 10 in accordance with Instruction 1 of Financing Statement (Form UCC1). For additional Debtors, attach either an additional Addendum or Additional Party (Form UCC1AP) and follow Instruction 1 of Financing Statement (Form UCC1) for determining and formatting additional names.

11. **Additional Secured Party's name or Assignor Secured Party's name.** If this Addendum adds an additional Secured Party, complete item 11 in accordance with Instruction 3 of Financing Statement (Form UCC1). For additional Secured Parties, attach either an additional Addendum or Additional Party (Form UCC1AP) and complete applicable items in accordance with Instruction 3 of Financing Statement (Form UCC1). In the case of a full assignment of the Secured Party's interest before the filing of this financing statement, if filer has provided the name and mailing address of the Assignee in item 3 of Financing Statement (Form UCC1), filer may enter Assignor Secured Party's name and mailing address in item 11.

12. **Additional Collateral Description.** If space in item 4 of Financing Statement (Form UCC1) is insufficient or additional information must be provided, enter additional information in item 12 or attach additional page(s) and incorporate by reference in item 12 (e.g., See Exhibit A). Do not include social security numbers or other personally identifiable information.

13-16. **Real Estate Record Information.** If this Financing Statement is to be filed in the real estate records and covers timber to be cut, covers as-extracted collateral, and/or is filed as a fixture filing, complete items 1-4 of the Financing Statement (Form UCC1), check the box in item 13, check the appropriate box in item 14, and complete the required information in items 15 and 16. If the Debtor does not have an interest of record, enter the name and address of the record owner in item 15. Provide a sufficient description of real estate in accordance with the applicable law of the jurisdiction where the real estate is located in item 16. If space in items 15 or 16 is insufficient, attach additional page(s) and incorporate by reference in items 15 or 16 (e.g., See Exhibit A), and continue the real estate record information. Do not include social security numbers or other personally identifiable information.

17. **Miscellaneous.** Under certain circumstances, additional information not provided on the Financing Statement (Form UCC1) may be required. Also, some states have non-uniform requirements. Use this space or attach additional page(s) and incorporate by reference in item 17 (e.g., See Exhibit A) to provide such additional information or to comply with such requirements; otherwise, leave blank. Do not include social security numbers or other personally identifiable information.

UCC FINANCING STATEMENT AMENDMENT ADDITIONAL PARTY

FOLLOW INSTRUCTIONS

19. INITIAL FINANCING STATEMENT FILE NUMBER: Same as item 1a on Amendment form

20. NAME OF PARTY AUTHORIZING THIS AMENDMENT: Same as item 9 on Amendment form

20a. ORGANIZATION'S NAME

OR

20b. INDIVIDUAL'S SURNAME

FIRST PERSONAL NAME

ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

21. ADDITIONAL DEBTOR'S NAME: Provide only one Debtor name (21a or 21b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

21a. ORGANIZATION'S NAME

OR

21b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
21c. MAILING ADDRESS	CITY	STATE / POSTAL CODE	COUNTRY

22. ADDITIONAL DEBTOR'S NAME: Provide only one Debtor name (22a or 22b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

22a. ORGANIZATION'S NAME

OR

22b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
22c. MAILING ADDRESS	CITY	STATE / POSTAL CODE	COUNTRY

23. ADDITIONAL DEBTOR'S NAME: Provide only one Debtor name (23a or 23b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

23a. ORGANIZATION'S NAME

OR

23b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
23c. MAILING ADDRESS	CITY	STATE / POSTAL CODE	COUNTRY

24. ☐ ADDITIONAL SECURED PARTY'S NAME or ☐ ASSIGNOR SECURED PARTY'S NAME: Provide only one name (24a or 24b)

24a. ORGANIZATION'S NAME

OR

24b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
24c. MAILING ADDRESS	CITY	STATE / POSTAL CODE	COUNTRY

25. ☐ ADDITIONAL SECURED PARTY'S NAME or ☐ ASSIGNOR SECURED PARTY'S NAME: Provide only one name (25a or 25b)

25a. ORGANIZATION'S NAME

OR

25b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX
25c. MAILING ADDRESS	CITY	STATE / POSTAL CODE	COUNTRY

26. MISCELLANEOUS:

FILING OFFICE COPY — UCC FINANCING STATEMENT AMENDMENT ADDITIONAL PARTY (Form UCC3AP) (Rev. 08/22/11)

Instructions for UCC Financing Statement Additional Party (Form UCC1AP)

Please type or laser-print this form. Be sure it is completely legible. Read and follow all Instructions; use of the correct name for the Debtor is crucial. Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice. Use this form (multiple copies if needed) to continue adding additional Debtor or Secured Party names as needed when filing a UCC Financing Statement
(Form UCC1).

ITEM INSTRUCTIONS

18. **Name of first Debtor.** Enter name of first Debtor exactly as shown in item 1 of Financing Statement (Form UCC1) to which this Additional Party relates. The name will not be indexed as a separate Debtor. If line 1b of the Financing Statement (Form UCC1) was left blank because the Individual Debtor name did not fit, check the box in item 18 and enter as much of the Individual Debtor name from item 10 that will fit. The Debtor name in this section is intended to cross-reference this Additional Party with the related Financing Statement (Form UCC1).

19-21. **Additional Debtor's name.** If this Additional Party adds additional Debtors, complete items 19, 20, and 21 in accordance with Instruction 1 of Financing Statement (Form UCC1).

22-23. **Additional Secured Party's name or Assignor Secured Party's name**. If this Additional Party form adds additional Secured Parties, complete items 22 and 23 in accordance with Instruction 3 of Financing Statement (Form UCC1). In the case of a full assignment of the Secured Party's interest before the filing of this financing statement, if filer has provided the name and mailing address of the Assignee in item 3 of Financing Statement (Form UCC1), filer may enter Assignor Secured Party's name and mailing address in items 22 and 23.

24. **Miscellaneous.** Under certain circumstances, additional information not provided on the Financing Statement (Form UCC1) may be required. Also, some states have non-uniform requirements. Use this space or attach additional page(s) and incorporate by reference in item 24 (e.g., See Exhibit A) to provide such additional information or to comply with such requirements; otherwise, leave blank. Do not include social security numbers or other personally identifiable information.

[redacted]

UCC FINANCING STATEMENT AMENDMENT

FOLLOW INSTRUCTIONS

Appendix 4

A. NAME & PHONE OF CONTACT AT SUBMITTER (optional)

B. E-MAIL CONTACT AT SUBMITTER (optional)

C. SEND ACKNOWLEDGMENT TO: (Name and Address)

SEE BELOW FOR SECURED PARTY CONTACT INFORMATION

Print Reset

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1a. INITIAL FINANCING STATEMENT FILE NUMBER

1b. ☐ This FINANCING STATEMENT AMENDMENT is to be filed (for record) (or recorded) in the REAL ESTATE RECORDS. Filer attach Amendment Addendum (Form UCC3Ad) and provide Debtor's name in item 13.

2. ☐ TERMINATION: Effectiveness of the Financing Statement identified above is terminated with respect to the security interest(s) of Secured Party(ies) authorizing this Termination Statement

3. ☐ ASSIGNMENT: Provide name of Assignee in item 7a or 7b, and address of Assignee in item 7c and name of Assignor in item 9
☐ For partial assignment, complete items 7 and 9; check ASSIGN Collateral box in item 8 and describe the affected collateral in item 8

4. CONTINUATION: Effectiveness of the Financing Statement identified above with respect to the security interest(s) of Secured Party authorizing this Continuation Statement is continued for the additional period provided by applicable law

5. PARTY INFORMATION CHANGE:

Check one of these two boxes:

This Change affects ☐ Debtor or ☐ Secured Party of record

AND Check one of these three boxes to:

☐ CHANGE name and/or address: complete item 6a or 6b; and item 7a or 7b and item 7c

☐ ADD name: Complete item 7a or 7b, and item 7c

☐ DELETE name: Give record name to be deleted in item 6a or 6b

6. CURRENT RECORD INFORMATION: Complete for Party Information Change - provide only one name (6a or 6b)

6a. ORGANIZATION'S NAME

OR

6b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX

7. CHANGED OR ADDED INFORMATION: Complete for Assignment or Party Information Change - provide only one name (7a or 7b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

7a. ORGANIZATION'S NAME

OR

7b. INDIVIDUAL'S SURNAME

INDIVIDUAL'S FIRST PERSONAL NAME

INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

7c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

8. COLLATERAL CHANGE: Check only one box:

Indicate collateral:

☐ ADD collateral ☐ DELETE collateral ☐ RESTATE covered collateral ☐ ASSIGN* collateral

*Check ASSIGN COLLATERAL only if the assignor's power to amend the record is limited to certain collateral and describe the collateral in Section 8

9. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT: Provide only one name (9a or 9b) (name of Assignor, if this is an Assignment)

If this is an Amendment authorized by a DEBTOR, check here ☐ and provide name of authorizing Debtor

9a. ORGANIZATION'S NAME

OR

9b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX

10. OPTIONAL FILER REFERENCE DATA:

FILING OFFICE COPY — UCC FINANCING STATEMENT AMENDMENT (Form UCC3) (Rev. 07/01/23)

Instructions for UCC Financing Statement Amendment (Form UCC3)

Please type or print this form. Be sure it is completely legible. Forms with handwritten entries may be rejected. Read and follow all Instructions.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice.

Unless specifically required by applicable state law, DO NOT include social security numbers, driver's license numbers, financial account numbers or other non-public personally identifiable information anywhere on the form.

Form instructions are intended for paper forms. Electronic UCC filing parameters and instructions may differ from the

below instructions. Send completed form and any attachments to the filing office, with the required fee.

ITEM INSTRUCTIONS

A and B. To assist filing offices that might wish to communicate with filer, filer may provide information in item A and item B. These items are optional.

Complete item C if filer desires an acknowledgment sent to them. If filing in a filing office that returns an acknowledgment copy furnished by filer, present simultaneously with this form the Acknowledgment Copy or a carbon or other copy of this form for use as an acknowledgment copy.

Always complete items 1a and 9.

1a. File Number. Enter file number of initial financing statement to which this Amendment relates. Enter only one file number. If required by a local filing office or optionally when filing at the state level, the date of filing may be added after the initial financing statement file number.

1b. If this Amendment is to be filed in the real estate records or in any other filing office where the name of current Debtor is required for indexing purposes, check the box in item 1b and enter Debtor name in item 13 of Amendment Addendum (Form UCC3Ad). Complete item 13 in accordance with instructions on Amendment Addendum (Form UCC3Ad). If Debtor does not have an interest in recording, enter the name and address of the record owner in item 16 of Amendment Addendum (Form UCC3Ad).

Note: Show purpose of this Amendment by checking boxes 2, 3, 4, 5, or 8 (in items 5 and 8 you must check additional boxes); also, complete items 6, 7, and/ or 8 as appropriate. Some filing offices may accept certain combinations of multiple actions in an Amendment. Filing offices that accept multiple actions may charge an additional fee. Consult the administrative rules of the designated filing office to determine the extent to which multiple actions will be accepted, indexed, and the applicable filing fees for multiple actions.

2. Termination. To terminate the effectiveness of the identified financing statement with respect to the security interest(s) of authorizing Secured Party, check box in item 2. See Instruction 9 below.

3. Assignment. To assign (1) some or all of Assignor's right to amend the identified financing statement, or (2) the Assignor's right to amend the identified financing statement with respect to some (but not all) of the collateral covered by the identified financing statement: Check box in item 3 and enter name of Assignee in item 7a or 7b; always enter the Assignee's mailing address in item 7c. Also enter name of Assignor in item 9. If assignment affects the right to amend the financing statement with respect to some (but not all) of the collateral covered by the identified financing statement, check the ASSIGN collateral box and indicate the collateral covered in item 8.

4. Continuation. To continue the effectiveness of the identified financing statement with respect to the security interest(s) of authorizing Secured Party, check box in item 4. Note: a continuation statement must be filed within six months before the lapse date. Consult filing office rules to determine the first and last days permitted for filing continuation statements.

5-7. Party Information Change. To indicate a party information change, check this box; also check additional boxes (as applicable) and complete items 5, 6, and/or 7 as appropriate.

To change the name and/or address of a party (items 5, 6, and 7): Check box in item 5 to indicate whether this Amendment relates to a Debtor or Secured Party of record; and check the CHANGE name and/or mailing address box in item 5 and enter name of affected party (current record name) in item 6a or 6b; and repeat or enter the new name in item 7a or 7b; always enter the party's mailing address in item 7c.

To add a party (items 5 and 7): Check box in item 5 to indicate whether this Amendment relates to a Debtor or Secured Party of record; and check the ADD name box in item 5 and enter the added party's name in item 7a or 7b; always enter the party's mailing address in item 7c. For additional Debtors or Secured Parties, attach Amendment Additional Party (Form UCC3AP), using correct name format.

To delete a party (items 5 and 6): Check box in item 5 to indicate whether this Amendment relates to a Debtor or Secured

Party of record; and check the DELETE name box in item 5 and enter the deleted party's name in item 6a or 6b.

8. Collateral Change. To indicate a collateral change, check the applicable box and describe the change in item 8. If space in item 8 is insufficient, continue collateral description in item 14 of Amendment Addendum (Form UCC3Ad).

   To add collateral: Check the ADD collateral box in item 8 and indicate the additional collateral.

   To delete collateral: Check the DELETE collateral box in item 8 and indicate the deleted collateral. A partial release is a DELETE collateral change. To restate covered collateral description: Check the RESTATE covered collateral box in item 8 and indicate the restated collateral.

   To assign the right to amend the financing statement with respect to part (but not all) of the collateral covered by the identified financing statement: Comply with Instruction 3 above, check the ASSIGN collateral box in item 8 and describe the collateral subject to the partial assignment.

9. Name of Authorizing Party. Enter name of the party of record authorizing this Amendment. In most cases, the authorizing party is the Secured Party of record. If this is an Amendment (Assignment), enter the Assignor' secured party's name in item 9a or 9b. If this is an Amendment (Termination) authorized by a Debtor, check the box in item 9 and enter the name of the Debtor authorizing this Amendment in item 9a or 9b. If this Amendment (Termination) is to be filed or recorded in the real estate records, also enter, in item 12 of Amendment Addendum (Form UCC3Ad), the name of Secured Party of record. Optional: if there is more than one authorizing Secured Party or Debtor, enter additional name(s) in item 18 of the Amendment Addendum (Form UCC3Ad) or attach an exhibit listing the additional parties that authorized the amendment.

10. Optional Filer Reference Data. This item is optional and is for filer's use only. For filer's convenience of reference, filer may enter in item 10 any identifying information that filer may find useful.

UCC FINANCING STATEMENT AMENDMENT ADDENDUM

Appendix 5

FOLLOW INSTRUCTIONS

11. INITIAL FINANCING STATEMENT FILE NUMBER: Same as item 1a on Amendment form

12. NAME OF PARTY AUTHORIZING THIS AMENDMENT: Same as item 9 on Amendment form

12a. ORGANIZATION'S NAME

OR

12b. INDIVIDUAL'S SURNAME

FIRST PERSONAL NAME

ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

Print Reset

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

13. Name of DEBTOR on related financing statement (Name of a current Debtor of record required for indexing purposes only in some filing offices - see Instruction item 13): Provide only one Debtor name (13a or 13b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); see Instructions if name does not fit

13a. ORGANIZATION'S NAME

OR

13b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

14. ADDITIONAL SPACE FOR (CHECK ONE BOX): ☐ ITEM 8 (Collateral) OR ☐ OTHER INFORMATION (Please Describe)

15. This FINANCING STATEMENT AMENDMENT:

☐ covers timber to be cut ☐ covers as-extracted collateral ☐ is filed as a fixture filing

16. Name and address of a RECORD OWNER of real estate described in item 17 (if Debtor does not have a record interest):

17. Description of real estate:

18. MISCELLANEOUS:

FILING OFFICE COPY — UCC FINANCING STATEMENT AMENDMENT ADDENDUM (Form UCC3Ad) (Rev. 07/01/23)

Instructions for UCC Financing Statement Amendment Addendum (Form UCC3Ad)

Please type or print this form. Be sure it is completely legible. Forms with handwritten entries may be rejected. Read and follow all Instructions.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice.

Unless specifically required by applicable state law, DO NOT include social security numbers, driver's license numbers, financial account numbers or other non-public personally identifiable information anywhere on the form.

Form instructions are intended for paper forms. Electronic UCC filing parameters and instructions may differ from the below instructions. Send completed form and any attachments to the filing office, with the related UCC3 Amendment Form and the correct fee.

ITEM INSTRUCTIONS

11. File Number. Enter file number of initial financing statement as shown in item 1a of Amendment (Form UCC3) to which this Amendment Addendum relates.

12. Name of Authorizing Party. Enter information exactly as shown in item 9 on Amendment (Form UCC3).

13. Name of Debtor on related Financing Statement. If this Amendment (Form UCC3) is to be filed in the real estate records or in any other filing office where the name of a current Debtor of record is required for indexing purposes, enter Debtor name in item 13a or 13b. Item 13 is intended to cross-reference the Amendment (Form UCC3) and Amendment Addendum with the related Financing Statement (Form UCC1). If more than one current Debtor, enter additional name(s) in item 14 or on additional Amendment Addendum (Form UCC3Ad). Do not use item 13 to change, add, or delete a Debtor name.

14. Additional Space for Item 8 (Collateral) or Other Information. If space in item 8 of Amendment (Form UCC3) is insufficient or other information must be provided, check the box to indicate the type of information in item 8 and then enter the additional collateral or other information in item 14 or attach additional page(s) and incorporate by reference in item 14 (e.g., See Exhibit A).

15-17. Real Estate Record Information. If this Amendment (Form UCC3) is to be filed in the real estate records, complete the required information (items 15, 16, and 17). If this Amendment (Form UCC3) covers timber to be cut, covers as-extracted collateral, and/or is filed as a fixture filing, check appropriate box in item 15. If the Debtor does not have an interest of record, enter the name and address of the record owner in item 16. Provide a sufficient description of real estate in accordance with the applicable law of the jurisdiction where the real estate is located in item 17. If space in items 16 or 17 is insufficient, attach additional page(s) and incorporate by reference in items 16 or 17 (e.g., See Exhibit A), and continue the real estate information. Do not include social security numbers or other personally identifiable information.

18. Miscellaneous. Under certain circumstances, additional information not provided on the Financing Statement Amendment (Form UCC3) may be required. Also, some states have non-uniform requirements. Use this space or attach additional page(s) and incorporate by reference in item 18 (e.g., See Exhibit A) to provide such additional information or to comply with such requirements; otherwise, leave blank. Do not include social security numbers or other personally identifiable information.

UCC FINANCING STATEMENT AMENDMENT ADDITIONAL PARTY

Appendix 6

FOLLOW INSTRUCTIONS

19. INITIAL FINANCING STATEMENT FILE NUMBER: Same as item 1a on Amendment form

20. NAME OF PARTY AUTHORIZING THIS AMENDMENT: Same as item 9 on Amendment form

20a. ORGANIZATION'S NAME

OR

20b. INDIVIDUAL'S SURNAME

FIRST PERSONAL NAME

ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

Print | Reset

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

21. ADDITIONAL DEBTOR'S NAME: Provide only one Debtor name (21a or 21b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

21a. ORGANIZATION'S NAME

OR

21b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

21c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY

22. ADDITIONAL DEBTOR'S NAME: Provide only one Debtor name (22a or 22b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

22a. ORGANIZATION'S NAME

OR

22b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

22c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY

23. ADDITIONAL DEBTOR'S NAME: Provide only one Debtor name (23a or 23b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

23a. ORGANIZATION'S NAME

OR

23b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

23c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY

24. ☐ ADDITIONAL SECURED PARTY'S NAME or ☐ ASSIGNOR SECURED PARTY'S NAME: Provide only one name (24a or 24b)

24a. ORGANIZATION'S NAME

OR

24b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

24c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY

25. ☐ ADDITIONAL SECURED PARTY'S NAME or ☐ ASSIGNOR SECURED PARTY'S NAME: Provide only one name (25a or 25b)

25a. ORGANIZATION'S NAME

OR

25b. INDIVIDUAL'S SURNAME | FIRST PERSONAL NAME | ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

25c. MAILING ADDRESS | CITY | STATE | POSTAL CODE | COUNTRY

26. MISCELLANEOUS:

FILING OFFICE COPY — UCC FINANCING STATEMENT AMENDMENT ADDITIONAL PARTY (Form UCC3AP) (Rev. 07/01/23)

Instructions for UCC Financing Statement Amendment Additional Party (Form UCC3AP)

Please type or laser-print this form. Be sure it is completely legible. Read and follow all Instructions; use of the correct name for the Debtor is crucial.
Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice.
Use this form (multiple copies if needed) to continue adding additional Debtor or Secured Party names as needed when filing a UCC Financing Statement Amendment (Form UCC3).

ITEM INSTRUCTIONS

19. **File Number.** Enter file number of initial financing statement as shown in item 1a of Amendment (Form UCC3) to which this Amendment Addendum relates.

20. **Name of Authorizing Party.** Enter information exactly as shown in item 9 on Amendment (Form UCC3).

21-23. **Additional Debtor's name.** If this Amendment Additional Party adds additional Debtors, complete items 21, 22, and 23 in accordance with Instruction 1 of Financing Statement (Form UCC1).

24-25. **Additional Secured Party's name or Assignor Secured Party's name.** If this Amendment Additional Party adds additional Secured Parties, complete items 24 and 25 in accordance with Instruction 3 of Financing Statement (Form UCC1). In the case of an assignment of the Secured Party's interest, filer may enter Secured Party and/or Assignor Secured Party's name and mailing address information in items 24 and 25.

26. **Miscellaneous.** Under certain circumstances, additional information not provided on the Financing Statement Amendment (Form UCC3) may be required. Also, some states have non-uniform requirements. Use this space or attach additional page(s) and incorporate by reference in item 26 (e.g., See Exhibit A) to provide such additional information or to comply with such requirements; otherwise, leave blank. Do not include social security numbers or other personally identifiable information.

[redacted]

INFORMATION REQUEST

Appendix 7

FOLLOW INSTRUCTIONS

A. SUBMITTER NAME & PHONE (optional)	FILING OFFICE ACCT #
B. E-MAIL CONTACT AT SUBMITTER	
C. RETURN SEARCH RESULTS TO: (Name and Address)	

Print Reset

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S NAME to be searched: Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name)

1a. ORGANIZATION'S NAME

OR

1b. INDIVIDUAL'S SURNAME

INDIVIDUAL'S FIRST PERSONAL NAME

INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S) | SUFFIX

2. INFORMATION OPTIONS relating to UCC filings and other notices on file in the filing office that include the Debtor name identified in item 1:

SEARCH TYPE ☐ NON-CERTIFIED OR ☐ CERTIFIED

Select one of the following two options: ☐ UNLAPSED RECORDS ONLY ☐ ALL (Results provide all matching records, including those that have lapsed.)

INCLUDE COPIES? ☐ YES ☐ NO

INCLUDE ALL AVAILABLE LIENS IN INDEX (if applicable)? ☐ YES ☐ NO

3. COPY REQUEST ONLY (no search will be conducted) ☐ CERTIFIED (Optional)

Record Number	Date Record Filed (if required)	Type of Record and Additional Identifying Information (if required)

4. ADDITIONAL SERVICES:

5. DELIVERY INSTRUCTIONS (request will be completed and mailed to the address shown in item C unless otherwise instructed here):

5a. ☐ Pick Up

5b. ☐ Other

Specify desired method here (if available from this office); provide delivery information (e.g., delivery service's name, addressee's account # with delivery service, addressee's phone #, etc.)

FILING OFFICE COPY (1) — INFORMATION REQUEST (Form UCC11) (Rev. 07/01/23)

Instructions for Information Request (Form UCC11)

Many state-level filing offices provide online search capabilities. Online searches are often faster and less costly than those conducted using this form. Please consider performing your search online, if available. Check with the appropriate filing office for more details.

If submitting this form to conduct a search, be sure it is completely legible. Forms with handwritten entries may be rejected or have an adverse effect on the search results. Read and follow all Instructions.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice. Unless specifically required by applicable state law, DO NOT include social security numbers, driver's license numbers, financial account numbers or other non-public personally identifiable information anywhere on the form.

Send completed form to the filing office, with the required fee.

ITEM INSTRUCTIONS

A and B. To assist filing offices that might wish to communicate with submitter, submitter may provide information in item A and item B. These items are optional.

C. Provide name and address of submitter in item C. This item is NOT optional.

1. Debtor's name. Enter only one Debtor name in item 1 -- either an organization's name (1a) or an individual's name (1b). Enter Debtor's correct name. Do not abbreviate words that are not already abbreviated in the Debtor's name.

1a. Organization Debtor Name. "Organization Name" means the name of an entity that is not a natural person. A sole proprietorship is not an organization, even if the individual proprietor does business under a trade name. If Debtor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Debtor's current filed public organic records to determine Debtor's correct name. Trade name is insufficient. If a corporate ending (e.g., corporation, limited partnership, limited liability company) is part of the Debtor's name, it must be included. Do not use words that are not part of the Debtor's name.

1b. Individual Debtor Name. "Individual Name" means the name of a natural person; this includes the name of an individual doing business as a sole proprietorship, whether or not operating under a trade name. The term includes the name of a decedent where collateral is being administered by a personal representative of the decedent. The term does not include the name of an entity, even if it contains, as part of the entity's name, the name of an individual. Prefixes (e.g., Mr., Mrs., Ms.) and titles (e.g., M.D.) are generally not part of an individual name. Indications of lineage (e.g., Jr., Sr., III) generally are not part of the individual's name but may be entered in the Suffix box. Enter individual Debtor's surname (family name) in Individual's Surname box, first personal name in First Personal Name box, and all additional names in Additional Name(s)/Initial(s) box. If a Debtor's name consists of only a single word, enter that word in Individual's Surname box and leave other boxes blank.

2. Information Options. Information options relating to UCC filings and other notices on file in the filing office that include as a Debtor name the name identified in item 1. Check the "NON-CERTIFIED" box or, if a certified search is required, the "CERTIFIED " box. Please note that certified searches may have a longer turnaround time and additional fees may apply. If no box is checked, the filing office will perform a NON-CERTIFIED search by default.

   Check : the box "ALL" if you are requesting a search of all active records, including lapsed filings, or the box "UNLAPSED" if you are requesting a search of only active records that have not lapsed.

   Check the appropriate box to indicate whether you want copies of disclosed records included with the search. If "NO" is checked, the filing office will provide only a listing with summaries of the disclosed records.

   Check the "INCLUDE ALL AVAILABLE LIENS IN INDEX (if applicable)" box if you want the search to include other types of liens filed in the same index that match the name searched. Check with the filing office to determine whether other types of liens are available.

3. Complete item 3 ONLY if you are not ordering a search but wish to order copies of specific records by record number. The filing office will provide only the specific records ordered. If certified copies or records are required, check the "CERTIFIED (Optional)" box. Please note that ordering certified copies may require additional fees and result in a longer turnaround time.

4.. Additional Services. Some filing offices offer service options in addition to those offered in item 2. These may be shown on the face of this form or may otherwise be publicized by the particular filing office. Caution: if any of these additional service options introduces a search criterion (e.g., limiting search to named Debtor at an address in a specified city and state) that narrows the scope of the search, this may result in an incomplete search (that fails to list all filings against the named Debtor) and you may fail to learn information that might be of value to you.

5. Delivery Instructions. Unless otherwise instructed, filing office will mail information to the name and address in item C. Contact filing office concerning availability of other delivery options. Check appropriate box (4a or 4b 5a or 5b) if optional services are available from the filing office and are being requested.

5a. If information is to be picked up from the filing office, check the "Pick Up" box.

5b. For other than mail or pick up, check the "Other" box and specify the other delivery method that is being requested. If requesting delivery service, provide courier name and requestor's account number to bill for delivery charge. Filing office will not deliver by delivery service unless prepaid waybill or account number for billing is provided.

[redacted]

CAUTION:
This is not an amendment.

INFORMATION STATEMENT

FOLLOW INSTRUCTIONS

Appendix 8

A. NAME & PHONE OF SUBMITTER (optional)
B. E-MAIL CONTACT OF SUBMITTER (optional)
C. SEND ACKNOWLEDGMENT TO: (Name and Address)

Print Reset

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. Identification of the RECORD to which this INFORMATION STATEMENT relates

1a. INITIAL FINANCING STATEMENT FILE NUMBER	1b. RECORD INFORMATION TO WHICH THIS INFORMATION STATEMENT RELATES

2. Check one of these three boxes to indicate the claim made by this INFORMATION STATEMENT

2a. ☐ RECORD IS INACCURATE. Enter in item 3 the basis for the belief by the Debtor of Record identified in item 5 that the RECORD identified in item 1 is inaccurate and indicate the manner in which the person believes the RECORD should be amended to cure the inaccuracy

2b. ☐ RECORD WAS WRONGFULLY FILED. Enter in item 3 the basis for the belief by the Debtor of Record identified in item 5 that the RECORD identified in item 1 was wrongfully filed

2c. ☐ RECORD FILED BY PERSON NOT ENTITLED TO DO SO. Enter in item 3 the basis for the belief by the Secured Party of Record that the person that filed the RECORD identified in item 1 was not entitled to do so under UCC Section 9-509

3. Basis for claim of box checked in item 2

4. If this INFORMATION STATEMENT relates to a RECORD filed [or recorded] in a filing office described in Section 9-501(a)(1) and this INFORMATION STATEMENT is filed in such a filing office, provide the date [and time] on which the INITIAL FINANCING STATEMENT identified in item 1a above was filed [or recorded]

4a. DATE	4b. TIME

5. NAME of PERSON filing this INFORMATION STATEMENT

5a. ORGANIZATION'S NAME			
OR 5b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX

FILING OFFICE COPY — INFORMATION STATEMENT (Form UCC5) (Rev. 07/01/23)

Instructions for Information Statement (Form UCC5)

Please type or laser-print this form. Be sure it is completely legible. Read and follow all Instructions, especially Instructions 1a and 1b; correct identification of the initial record to which this Information Statement relates is crucial.
Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. The filing office cannot give legal advice.
Send completed form and any attachments to the filing office, with the required fee.

Note: A person may file an Information Statement with respect to a record indexed under that person's name if the person believes the record was inaccurate or wrongfully filed, or a person may file an Information Statement with respect to a record if the person is a Secured Party of Record with respect to the financing statement to which the record relates and believes that the person that filed the record was not entitled to do so.

ITEM INSTRUCTIONS

A and B. To assist filing offices that might wish to communicate with filer, filer may provide information in item A and item B. These items are optional.
C. Complete item C if filer desires an acknowledgment sent to them. If filing in a filing office that returns an acknowledgment copy furnished by filer, present simultaneously with this form the Acknowledgment Copy or a carbon or other copy of this form for use as an acknowledgment copy.

Always complete items 1 and 5 and either 2a or 2b or 2c. Always complete item 3 with the basis for the box marked in item 2. You may also be required to complete item 4.

1a. **File number:** Enter file number of initial financing statement to which the record that is the object of this Information Statement relates. Enter only one file number.

1b. Enter record information to which this Information Statement relates. Indicate the type of record to which this Information Statement relates (e.g., Financing Statement or Amendment) or you may also insert additional information that you believe will assist in identifying the record (e.g., the record file number or the filing date of the record).

2a. **Record is inaccurate.** If this Information Statement is filed based upon the belief of the Debtor of Record that the record identified in item 1 is inaccurate, check box in item 2a, provide the basis for that belief in item 3, and indicate the manner in which the record should be amended to cure the inaccuracy.

2b. **Record was wrongfully filed.** If this Information Statement is filed based upon the belief of the Debtor of Record that the record identified in item 1 was wrongfully filed, check box in item 2b and provide the basis for that belief in item 3.

2c. **Record filed by person not entitled to do so.** If this Information Statement is filed based upon the belief of the Secured Party of Record that the person that filed the record identified in item 1b was not entitled to do so under Section 9-509, check box in item 2c and provide the basis for that belief in item 3.

3. **Basis.** Use this item to provide the basis for the box checked in item 2.

4. **Filing office date and time.** If this Information Statement relates to a record filed [or recorded] in a filing office described in Section 9-501(a)(1) and this Information Statement is filed in such a filing office, provide the date [and time] on which the initial financing statement identified in item 1a above was filed [or recorded].

5. **Name of Authorizing Party.** Enter name of the person filing this Information Statement. This name must be the same name as a Secured Party of Record or the name under which the record is indexed.


COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE