

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS

F I N A L M I N U T E S

MEETING OF:

STATE BOARD OF AUCTIONEER EXAMINERS

TIME: 10:30 a.m.

Held at

PENNSYLVANIA DEPARTMENT OF STATE

2525 North 7th Street

CoPA HUB, Eaton Conference Room

Harrisburg, Pennsylvania 17110

as well as

VIA MICROSOFT TEAMS

March 9, 2026

1 State Board of Auctioneer Examiners
2 Monday, March 9, 2026
3
4

5 BOARD MEMBERS:
6

7 Daniel A. Trace, Professional Member, Chair
8 Gerald A. Rader, Professional Member, Secretary
9 Arion R. Claggett, Acting Commissioner of
10 Professional and Occupational Affairs
11 Sherman E. Hostetter Jr., Professional Member
12 Mark Wolfe, Senior Civil Investigator
13 Thomas L. Abruzzese, Trading Assistant
14 Nevin Rentzel, Professional Member
15
16

17 BUREAU PERSONNEL:
18

19 Shawn Jayman, Esquire, Board Counsel
20 Emyline Breckenridge, Esquire, Board Prosecution
21 Liaison
22 J. Karl Geschwindt, Esquire, Senior Board Prosecutor
23 and Prosecution Liaison
24 Marc Farrell, Esquire, Regulatory Counsel, Office of
25 Chief Counsel, Department of State
26 Stephanie Dunkerley, Legal Analyst, Office of General
27 Counsel
28 Terrie Kocher, Board Administrator
29 Deena Parmalee, Legal Office Administrator,
30 Department of State
31 Shana M. Walter, Esquire, Deputy Chief Counsel,
32 Prosecution Division
33 Adrienne McClendon, Esquire, PA Office of General
34 Counsel
35 Amanda Richards, Fiscal Supervisor, Bureau of Finance
36 and Procurement, Department of State
37 Thomas Leech, Prior Board Administrator
38
39

40 ALSO PRESENT:
41

42 Erika Kelly, Cordier Auction
43 Ryan Gonder, Esquire, McNees Wallace & Nurick, LLC
44 Madison Pence, Legal Assistant, McNees Wallace &
45 Nurick, LLC
46 Jessica Kemmerer, Government and Strategic Advocacy
47 Advisor, McNees Government Relations
48 Samuel Lapp, Applicant
49 Allison Walker, Sargent's Court Reporting Service,
50 Inc.
51

State Board of Auctioneer Examiners

March 9, 2026

[Pursuant to Section 708(a)(5) of the Sunshine Act, at 9:30 a.m. the Board entered into Executive Session with Shawn J. Jayman, Esquire, Board Counsel, to have attorney-client consultations and for the purpose of conducting quasi-judicial deliberations. The Board returned to open session at 10:30 a.m.]

The regularly scheduled meeting of the State Board of Auctioneer Examiners was held on Monday, March 9, 2026. Chairman Daniel Trace called the meeting to order at 10:31 a.m.

Roll Call of Board Members

[Daniel Trace, Chairman, requested a roll call of Board members. A quorum was present.]

Introduction of Attendees

[Terrie Kocher, Board Administrator, provided an introduction of attendees.]

[Shawn J. Jayman, Esquire, Board Counsel, noted the

1 meeting was being recorded and continued
2 participation constituted consent to be recorded.

3 Mr. Jayman also noted the Board entered into
4 Executive Session for the purpose of conducting
5 quasi-judicial deliberations and to receive the
6 advice of counsel.]

7 ***

8 Approval of Minutes of November 10, 2025

9 CHAIR TRACE:

10 You all had a chance to see the
11 minutes. Are there any additions or
12 corrections?

13 I am asking for approval of the
14 November 10th, 2025 minutes?

15 MR. RADER:

16 So moved.

17 MR. HOSTETTER:

18 Second.

19
20 Daniel Trace, aye; Gerald Rader, aye;
21 Arion Claggett, aye; Sherman Hostetter,
22 aye; Thomas Abruzzese, aye; Nevin
23 Rentzel, abstain; Marc Wolfe, aye.

24 [The motion carried. Mr. Rentzel abstained from
25 voting on the motion.]

Appointment - Annual Prosecution Report

[Shana M. Walter, Esquire, Deputy Chief Counsel,

provided the Annual Prosecution Report. She

explained the Bureau of Professional and Occupational

Affairs (BPOA) provides administrative and legal

support to the 29 professional and occupational

licensing boards and commissions within the

department to protect the health and safety of the

public as well as the integrity of the professions.

The Office of Chief Counsel Prosecution Division

works with the Bureau of Enforcement and

Investigation (BEI) and compliance arms, who receive

complaints, investigate allegations, and enforce

compliance with the acts and regulations that govern

the Boards and commissions. She stated the

Prosecution Division consists of 40 attorneys, five

of which are senior prosecuting attorneys.

Ms. Walter stated the prosecution process always

begins with a complaint either from the public or by

Board referral. She reported, in 2025, the

department received approximately 23,000 total

complaints for all boards. Ms. Walter provided an

overview of the investigative process in which the

complaint moves through several levels of review.

1 Ms. Walter explained, after the investigative
2 process, the evidence is reviewed by the prosecuting
3 attorney to determine if they believe there have been
4 any violations of the act or regulations, how
5 sufficient the evidence is and determine if
6 additional files need to be opened. She stated, if a
7 violation is suspected the available options include
8 immediate temporary suspension, filing an order to
9 show cause, automatic suspension, an order for a
10 mental or physical examination, and a petition for
11 appropriate relief if the case violates a previous
12 Board order. Avenues for discipline types are
13 disciplinary imposition, revocation, suspension,
14 probation, public reprimand, civil penalty, remedial
15 education and cost of investigation. She added all
16 investigations are confidential and are not subject
17 to right-to-know requests.

18 Ms. Walter shared, in 2025, prosecution opened
19 17,354 cases opened out of the 23,000 complaints and
20 19,131 cases closed, up significantly from 2024.
21 Specifically for this Board, she reported 89 cases
22 were opened with 105 cases closed. When the report
23 was generated in the beginning of January 2026, there
24 were approximately 2,161 active licenses and 122 open
25 cases.]

Appointment - Annual Financial Presentation

[Amanda Richards, Fiscal Supervisor, Bureau of Finance and Procurement, provided the Board's annual financial presentation. She noted, on the prior biannual basis, the Board had 2,113 licensees. Their current biannual license count had increased to a total of 2,224, (112 licenses), and the bulk of that came from their auctioneer class. She noted the Board had five classes of licensees.

Ms. Richards stated, in March of 2025, their current renewal was a 10% increase for all of their license classes. In March of 2027, there would be another renewal increase of 10% for each of their license classes.

Ms. Richards addressed revenue for the Board. She noted their prior biennium had approximately \$860,000 in revenue. For their current revenue, the amount was \$1,000,000, and 92.7% of that money comes from renewals.

Expenses for the Board consist of three different categories. The first category would be administrative costs like the court reporter and expert witness costs. The second category is time-sheet based, and the third category is the license

1 population. All of the Boards are paying a price
2 depending on the number of their license population.
3 Ms. Richards stated, for the Board's prior biennial
4 period, the cost was about \$789,000. For the current
5 biennial, the cost was roughly \$870,000. She
6 emphasized that a part of the increase in cost was
7 due to the modernization project that each of the
8 Boards were contributing to.

9 Ms. Richards presented the expenses and the
10 revenues. She stated, in 2022-2023, the Board had a
11 deficit of about \$660,000. Because of the fee
12 increases, the Board's revenue is higher. She
13 believed the revenue would continue to increase
14 through 2032-2033.

15 Mr. Hostetter asked Ms. Richards if she were able
16 to run projections that would keep the renewal at
17 \$475 instead of \$500. Mr. Farrell advised Mr.
18 Hostetter that any downward change to a fee would
19 have to be done via the regulatory process. He
20 commented the Board looked good compared to most of
21 the other boards, but the Board did not have much
22 cushion room. Mr. Rentzel suggested the Board would
23 still be coming out of a deficit and would be in the
24 red until 2030, 2031. Ms. Richards agreed but stated
25 the Board would coming out of that deficit each

1 renewal cycle.]

2 ***

3 Report of Board Prosecution

4 [Emyline Breckenridge, Esquire, Board Prosecution
5 Liaison, presented the Consent Agreement for Case No.
6 22-64-013220.]

7 MR. JAYMAN:

8 Based on what was presented by
9 prosecution, any board member need to
10 go back to Executive Session or are we
11 okay to proceed?

12 Based on Executive Session
13 deliberations I believe the Chair would
14 entertain a motion to approve the
15 Consent Agreement at Case No.22-64-
16 013220. Do I hear a motion?

17 ACTING COMMISSIONER CLAGGETT:

18 So moved.

19 MR. RADER:

20 Second.

21
22 Daniel Trace, aye; Gerald Rader, aye;
23 Arion Claggett, aye; Sherman Hostetter,
24 aye; Thomas Abruzzese, aye; Nevin
25 Rentzel, aye; Marc Wolfe, aye.

1 [The motion carried unanimously. The Respondent's
2 name at Case No.22-64-013220 is Paul Miller, d/b/a/
3 P-P Trading.]

4 ***

5 [Adrianne McClendon, Esquire, presented the Consent
6 Agreement for Case No. 25-64-000647.]

7 MR. JAYMAN:

8 Based on Executive Session
9 deliberations, I believe the Chair
10 would entertain a motion to approve the
11 Consent Agreement for Case No. 25-64-
12 000647.

13 ACTING COMMISSIONER CLAGGETT:

14 So moved.

15 MR. RADER:

16 Second.

17
18 Daniel Trace, aye; Gerald Rader, aye;
19 Arion Claggett, aye; Sherman Hostetter,
20 aye; Thomas Abruzzese, aye; Nevin
21 Rentzel, aye; Marc Wolfe, aye.

22 [The motion carried unanimously. The Respondent's
23 name at Case No. 25-64-000647 is John Rowland Huey,
24 II.]

25 ***

1 Report of Board Prosecution - Status of Cases

2 [Emyline Breckenridge, Esquire, Board Prosecution
3 Liaison, reported on the status of cases with the
4 Board.

5 She stated the Board currently had 61 open cases
6 with four new-opened cases from December 29, 2025,
7 until February 18, 2026. There were 16 cases closed
8 during the reporting cycle. Additionally, she noted
9 55 cases were currently in the prosecution stage.]

10 ***

11 Report of Board Counsel

12 MR. JAYMAN:

13 Based on Executive Session
14 deliberations, I believe the Chair
15 would entertain a motion to
16 provisionally deny the application for
17 reciprocity endorsement, Application
18 No. AA0006466812, Adam Shpritz.

19 ACTING COMMISSIONER CLAGGETT:

20 So moved.

21 MR. RENTZEL:

22 Second.

23

24 Daniel Trace, aye; Gerald Rader, aye;
25 Arion Claggett, aye; Sherman Hostetter,

1 aye; Thomas Abruzzese, aye; Nevin
2 Rentzel, aye; Marc Wolfe, aye.

3 [The motion carried unanimously.]

4 ***

5 MR. JAYMAN:

6 Based on Executive Session
7 deliberations, I believe the Chair
8 entertain a motion to accept the final
9 Adjudication and direct board counsel
10 to issue that final Adjudication in the
11 matter of Ian J. Gilmore, Case No. 25-
12 64-001658.

13 Do I hear a motion?

14 ACTING COMMISSIONER CLAGGETT:

15 So moved.

16 MR. RENTZEL:

17 Second.

18
19 Daniel Trace, aye; Gerald Rader,
20 recused; Arion Claggett, aye; Sherman
21 Hostetter, aye; Thomas Abruzzese, aye;
22 Nevin Rentzel, aye; Marc Wolfe, nay.

23 [The motion carried. Mr. Rader recused himself from
24 deliberations and voting on the motion. Mr. Wolf
25 opposed the motion.]

MR. JAYMAN:

Based on Executive Session deliberations, I believe the Chair entertain a motion to adopt the proposed adjudication and substitute the Boards order in the matter of Ronald Pritchik, doing business as Pink Flamingo Estate Sales and Services, LLC, Case No. 22-64- 003808.

Do I hear a motion?

ACTING COMMISSIONER CLAGGETT:

So moved.

MR. RADER:

Second.

Daniel Trace, aye; Gerald Rader, aye; Arion Claggett, aye; Sherman Hostetter, aye; Thomas Abruzzese, aye; Nevin Rentzel, aye; Marc Wolfe, aye.

[The motion carried unanimously.]

Report of Regulatory Counsel

[Marc Farrel, Esquire, Regulatory Counsel, Office of Chief Counsel, provided a brief update to the Board

1 regarding their General Revisions package, 16A-6410.
2 He stated the regulation package was drafted and will
3 be formally entered into the pipelines of approval.
4 He offered to provide a complete copy of the full
5 package at the Board's next meeting.]

6 ***

7 Report of Board Counsel - (Cont.)

8 [Mr. Samuel Lapp, Case No. 25-64-018107, apprentice
9 auctioneer, presented himself to request the Board
10 reconsider their denial letter prohibiting him from
11 sitting for the auctioneer licensure exam.

12 Mr. Lapp explained, when he first applied for the
13 exam, he believed he had provided the Board
14 information related to the 30 auctions requirement.
15 He later discovered, after communication with the
16 Board, the 30 auctions had to have been within a two-
17 year span. Mr. Lapp stated he had no idea this was
18 the case.

19 Mr. Lapp did try to correct the situation by re-
20 submitting his application while adding six more
21 auctions he had worked over a two-year span. He
22 stated, with the 30 auctions on his original
23 application, plus the six added, it would have come
24 to 30 auctions worked within the required two-year
25 span.

1 Mr. Hostetter questioned whether Mr. Lapp had
2 added more months to the required two-year limit in
3 order to get more auctions. Mr. Lapp responded he
4 had added more auctions, all while keeping his
5 apprenticeship.

6 Mr. Rader asked Mr. Lapp to clarify whether his
7 starting date of working auctions coincided with his
8 ending date of same. Mr. Rader asked if Mr. Lapp's
9 sponsoring auctioneer could provide verification.
10 Mr. Lapp's sponsoring auctioneer was his father,
11 Daniel Lapp. Daniel Lapp passed away on the same day
12 that Mr. Lapp had started his application for the
13 apprentice option for an auctioneer license. Mr.
14 Rader asked again what the starting date was for Mr.
15 Lapp in working the auctions.

16 Acting Commissioner Claggett advised
17 documentation and verification for the 30 auctions
18 needed to be provided to the Board. Mr. Jayman
19 suggested a hearing be set for May 11, 2026. Mr.
20 Lapp would have the burden of proof to submit to the
21 Board. May 11, 2026 is the board's next meeting
22 date. Mr. Jayman advised Mr. Lapp the notice of
23 hearing would explain everything. Mr. Lapp thanked
24 the Board for their time.]

25 ***

1 MR. JAYMAN:

2 Based on what just transpired, this
3 matter will be tabled. The matter of
4 Sammuel Lapp, Application No.
5 AA0006406795, Case No. 25-64-018107, is
6 being tabled to have a further hearing
7 with further information for May 11th,
8 the next agenda.

9 ACTING COMMISSIONER CLAGGETT:

10 So moved.

11 CHAIR TRACE:

12 Do I hear a second?

13 MR. RADER:

14 Second.

15
16 Daniel Trace, aye; Gerald Rader, aye;
17 Arion Claggett, aye; Sherman Hostetter,
18 aye; Thomas Abruzzese, aye; Nevin
19 Rentzel, aye; Marc Wolfe, aye.

20 [The motion carried unanimously.]

21 ***

22 Report from Acting Commissioner - No Report

23 ***

24 Report of Board Chairperson - No Report

25 ***

1 Report of Board Administrator - No Report

2 ***

3 Public Comments - None

4 ***

5 Adjournment

6 CHAIR TRACE:

7 Looking for a motion for adjournment
8 for today's meeting.

9 ACTING COMMISSIONER CLAGGETT:

10 So moved.

11 MR. RADER:

12 Second.

13 CHAIR TRACE:

14 Meeting is adjourned. Thank you all.

15 ***

16 [There being no further business, the State Board of
17 Auctioneers Examiners Meeting adjourned at 11:27 a.m.

18 ***

19

20

21

22

23

24

25

CERTIFICATE

I hereby certify that the foregoing summary minutes of the State Board of Auctioneer Examiners meeting, was reduced to writing by me or under my supervision, and that the minutes accurately summarize the substance of the State Board of Auctioneers meeting.



Allison Walker,

Minute Clerk

Sargent's Court Reporting
Service, Inc.

STATE BOARD OF AUCTIONEER EXAMINERS
REFERENCE INDEX
MARCH 9, 2026

TIME	AGENDA
10:00	Executive Session
10:31	Return to Open Session
10:31	Official Call to Order
10:32	Roll Call of Attendance
10:34	Approval of Minutes
10:35	Appointment - Shana Walter
11:00	Appointment - Amanda Richards
11:01	Report of Board Prosecution
11:09	Report of Board Counsel/Commission
11:13	Applications
11:26	Report of Regulatory Counsel
11:27	Adjournment