

Commonwealth of Pennsylvania

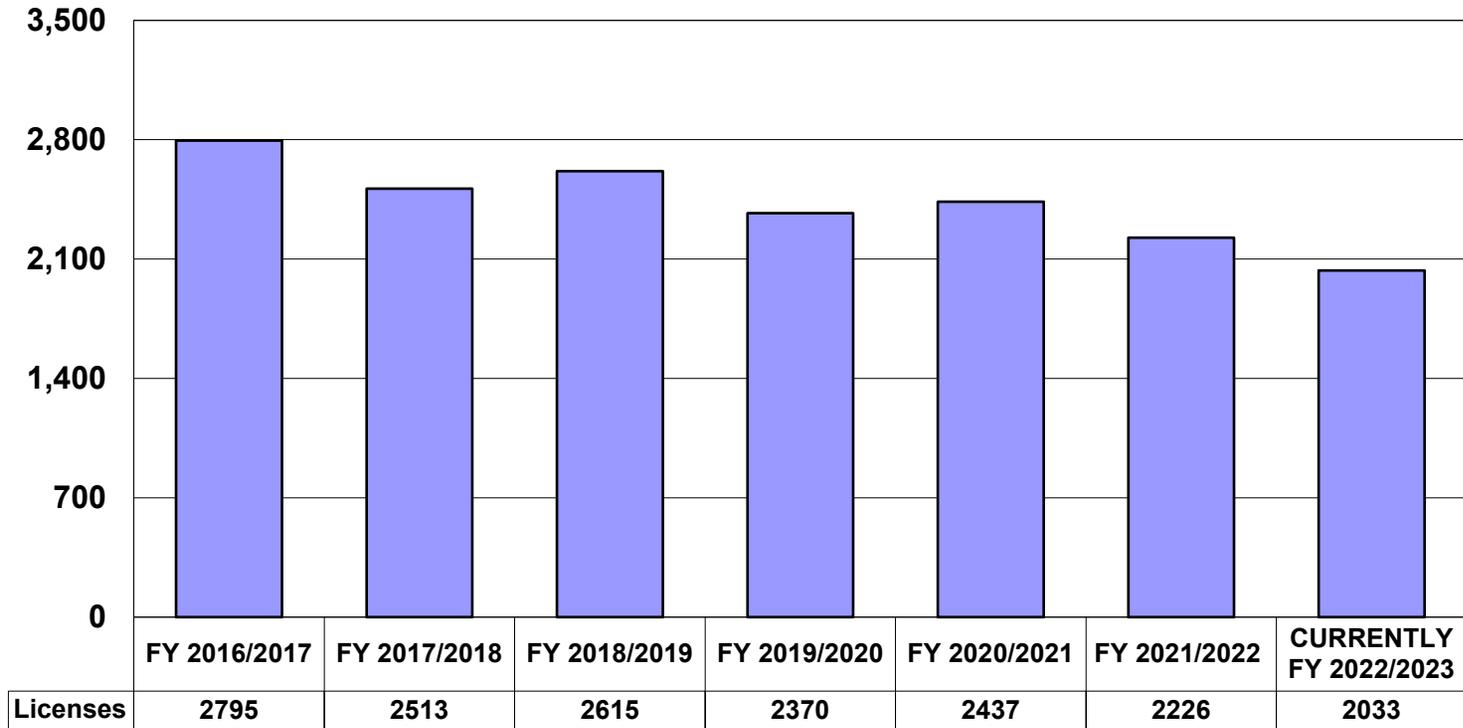


**DEPARTMENT OF STATE**

**STATE BOARD OF AUCTIONEER  
EXAMINERS**

**FY 2021-2022 EXPENDITURES  
FY 2022-2023 BUDGET INFORMATION  
FY 2023-2024 BUDGET PLANNING**

## STATE BOARD OF AUCTIONEERS RENEWABLE LICENSEE COUNTS



| <u>LICENSEES BY CLASS</u> | <u>FY 19-20</u> | <u>FY 20-21</u> | <u>FY 21-22</u> | <u>FY 22-23</u> |
|---------------------------|-----------------|-----------------|-----------------|-----------------|
| Apprentice Auctioneer     | 241             | 248             | 216             | 179             |
| Auctioneer                | 1,767           | 1,809           | 1,653           | 1,487           |
| Licensed Auction Company  | 307             | 318             | 299             | 301             |
| Trading Assistant Company | 6               | 10              | 15              | 18              |
| Trading Assistant         | 49              | 52              | 43              | 48              |
| <b>TOTAL</b>              | <b>2,370</b>    | <b>2,437</b>    | <b>2,226</b>    | <b>2,033</b>    |

## REVENUE BY SOURCE DEFINITIONS

**Renewals:** Revenue collected from license and certificate renewal fees during the renewal period.

**Applications:** Revenue collected for applications received from candidates for new licensure, certification or registration.

**Letters of Good Standing/Certifications:** Revenue collected for requests from licensees and certificate holders for Letters of Good Standing and Certifications. These letters and certifications are used by the licensees or certificate holders to send to other jurisdictions to verify that the holder of the license or certificate is in “good standing” with the Commonwealth of PA.

**Act 48:** Revenue collected from citations issued and civil penalties imposed by the Bureau of Enforcement and Investigation’s inspectors for non-compliance to standards found during routine inspections.

**Civil Penalties:** Revenue deposited as a result of penalties imposed for an offense that violates the Board or Commission’s licensing laws and/or regulations.

**Licensee List:** Revenue collected for licensee list requests from the public through the Bureau of Professional and Occupational Affairs. The information provided on these lists include name, address, license number, county, certification and expiration date.

**Investigations:** Revenue collected for the reimbursement of investigations.

DEPARTMENT OF STATE  
BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS

**STATE BOARD OF AUCTIONEER EXAMINERS  
FY20-21 AND FY21-22 SOURCES OF REVENUE**

| <b><u>REVENUE BY SOURCE</u></b>                | <b><u>FY20-21<br/>ACTUAL</u></b> | <b><u>FY21-22<br/>ACTUAL</u></b> | <b><u>BIENNIAL<br/>TOTAL</u></b> |
|--|----------------------------------|----------------------------------|----------------------------------|
| <b>Renewals</b>                                | 517,370.00                       | 12,290.00                        | 529,660.00                       |
| <b>Applications</b>                            | 6,000.00                         | 8,635.00                         | 14,635.00                        |
| <b>Letters of Good Standing/Certifications</b> | 480.00                           | 435.00                           | 915.00                           |
| <b>Civil Penalties</b>                         | 500.00                           | 4,000.00                         | 4,500.00                         |
| <b>Act 48</b>                                  | 500.00                           | 2,500.00                         | 3,000.00                         |
| <b>Investigations</b>                          | 0.00                             | 738.18                           | 738.18                           |
| <b>Licensee List</b>                           | <u>2,389.88</u>                  | <u>2,132.00</u>                  | <u>4,521.88</u>                  |
| <b>TOTAL REVENUE</b>                           | <u><b>527,239.88</b></u>         | <u><b>30,730.18</b></u>          | <u><b>557,970.06</b></u>         |

Renewal Fees: Renew February odd years - last fee increase 2015 (board approved biennial renewal fee increase on 9/9/19 starting in FY22-23)

Trading Assistant: \$200 biennially (FY24-25: \$250; FY26-27: \$300)

Trading Assistant Company: \$200 biennially ( FY24-25: \$250; FY26-27: \$300)

Apprentice Auctioneer: \$200 biennially (FY24-25: \$250; FY26-27: \$300)

Auctioneer: \$400 biennially ( FY24-25: \$475; FY26-27: \$500)

Licensed Auction Company: \$400 biennially ( FY24-25: \$475; FY26-27: \$500)

## COST CATEGORY DEFINITIONS

**Board Administration**: Timesheet based staff expenses (personnel, operating and fixed assets) for the Commissioner's Office, Operational Support Division, and Licensing Divisions in the Bureau of Professional and Occupational Affairs. Direct charges, such as printing and mailing costs for board and commission renewal notices, informational handouts, Acts, Rules and Regulations are included in this cost category. Operating and fixed asset expenses that are distributed based on their benefit to the board using licensee population, such as PALS, copy paper, staff augmentations for BPOA, Penn Center lobby security, and interagency billings (i.e., Civil Service Commission, Comptroller's Office, etc.).

**Departmental Services**: Timesheet based charges for support provided by the various support offices within the agency. These include the Executive Office, which consists of offices of the Secretary of the Commonwealth, the Executive Deputy Secretary, the Deputy Secretary of Regulatory Programs, Office of Policy, Office of Chief Counsel, Office of Communications and Press, and Office of Legislative Affairs. In addition, costs are included for Bureau of Finance and Operations and Human Resource/Information Technology Delivery Centers.

**Legal Office**: Timesheet based staff expenses (personnel, operating and fixed assets) for board counsel, board prosecutors, legal review of policy and regulatory matters, and support staff. Examples of direct charges are expert witness services and advertising costs of license suspensions, revocations or fines assessed.

**Hearing Expenses**: Timesheet based staff expenses (personnel, operating and fixed assets) for staff assigned to the Hearing Examiners' Office. There are also direct charges for hearing related expenses such as court reporting services.

**Enforcement and Investigation**: Timesheet based staff expenses (personnel, operating and fixed assets) are captured for headquarters and the four regional offices.

**Board Member Expenses (direct charges)**: Board member per diem, conference registration fees, travel expenses and membership dues for national professional organizations.

DEPARTMENT OF STATE  
BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS

STATE BOARD OF AUCTIONEER EXAMINERS

| A                             | B                                  | C                                  | D                      | E   |
|-------------------------------|------------------------------------|------------------------------------|------------------------|---|
| COST CATEGORY                 | FY 2020-2021<br>ACTUAL<br>EXPENSES | FY 2021-2022<br>ACTUAL<br>EXPENSES | FY 2022-2023<br>BUDGET | FY 2022-2023<br>EXPENSES AS<br>OF 4/21/2023 |
| <b>ADMINISTRATIVE COSTS:</b>  |                                    |                                    |                        |   |
| Board Administration          | 123,082.21                         | 86,702.61                          | 122,000.00             | 89,960.15                                   |
| Departmental Services         | 11,602.90                          | 10,394.80                          | 11,000.00              | 10,574.20                                   |
| Board Member Expenses         | 2,496.64                           | 4,930.82                           | 10,000.00              | 6,720.71                                    |
| <b>LEGAL COSTS:</b>           |                                    |                                    |                        |   |
| Legal Office                  |                                    |                                    |                        |   |
| Administration                | 7,319.43                           | 4,005.42                           | 4,000.00               | 2,859.34                                    |
| Prosecution                   | 67,793.54                          | 87,288.95                          | 83,000.00              | 60,784.05                                   |
| Counsel                       | 49,663.42                          | 28,537.10                          | 23,000.00              | 17,521.61                                   |
| Hearing Expenses              | 2,653.35                           | 26,805.11                          | 48,000.00              | 32,671.26                                   |
| Enforcement and Investigation |                                    |                                    |                        |   |
| BEI Administration            | 16,688.01                          | 10,955.47                          | 11,000.00              | 5,624.33                                    |
| Investigations                | 78,848.05                          | 98,086.06                          | 82,000.00              | 54,967.28                                   |
| <b>TOTAL BOARD COSTS:</b>     | <b>360,147.55</b>                  | <b>357,706.34</b>                  | <b>394,000.00</b>      | <b>281,682.93</b>                           |

| FINANCIAL STATUS          | PROPOSED           |                    |                     |                    |                       |                       |
|---------------------------|--------------------|--------------------|---------------------|--------------------|-----------------------|-----------------------|
|                           | ACTUAL<br>FY 20-21 | ACTUAL<br>FY 21-22 | BUDGET<br>FY 22-23* | BUDGET<br>FY 23-24 | PROJECTED<br>FY 24-25 | PROJECTED<br>FY 25-26 |
| <b>BEGINNING BALANCE:</b> | (277,246.90)       | (110,154.57)       | (437,130.73)        | (373,130.73)       | (700,130.73)          | (519,130.73)          |
| <b>REVENUE:</b>           | 527,239.88         | 30,730.18          | 458,000.00          | 41,000.00          | 587,000.00            | 46,000.00             |
| <b>TOTAL REVENUE:</b>     | 249,992.98         | (79,424.39)        | 20,869.27           | (332,130.73)       | (113,130.73)          | (473,130.73)          |
| <b>EXPENSES/BUDGET:</b>   | 360,147.55         | 357,706.34         | 394,000.00          | 368,000.00         | 406,000.00            | 379,000.00            |
| <b>REMAINING BALANCE:</b> | (110,154.57)       | (437,130.73)       | (373,130.73)        | (700,130.73)       | (519,130.73)          | (852,130.73)          |

\* Incremental biennial renewal fee and application fee increase beginning FY22-23