

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS

F I N A L M I N U T E S

MEETING OF:

STATE BOARD OF AUCTIONEER EXAMINERS

TIME: 10:30 a.m.

Held at

PENNSYLVANIA DEPARTMENT OF STATE

2525 North 7th Street

CoPA HUB, Eaton Conference Room

Harrisburg, Pennsylvania 17110

as well as

VIA MICROSOFT TEAMS

July 13, 2026

State Board of Auctioneer Examiners
July 13, 2026

BOARD MEMBERS:

Daniel A. Trace, Professional Member, Chair
Matthew Eaton, Deputy Commissioner, on behalf of
Arion R. Claggett, Acting Commissioner of
Professional and Occupational Affairs
Gerald A. Rader, Professional Member, Secretary
Thomas L. Abruzzese, Trading Assistant
Sherman E. Hostetter Jr., Professional Member
Nevin Rentzel, Professional Member - Absent
Mark Wolfe, Attorney General Representative

BUREAU PERSONNEL:

Shawn J. Jayman, Esquire, Board Counsel
Adrianne McClendon, Esquire, Board Prosecution
Liaison
James Kushlan, Board Administrator
Thomas Leech II, Esquire, Business Licensing Division
Chief
Marc Farrell, Esquire, Regulatory Counsel, Office of
Chief Counsel
Ronald Rouse, Esquire, Senior Counsel, Office of
General Counsel

ALSO PRESENT:

Ryan Gonder, Esquire, McNees Wallace & Nurick, LLC
Joseph Swarey, Applicant
Erin Badstuebner, Sargent's Court Reporting Service,
Inc.

State Board of Auctioneer Examiners

July 13, 2026

[Pursuant to Section 708(a)(5) of the Sunshine Act, at 9:30 a.m. the Board entered into Executive Session with Shawn J. Jayman, Esquire, Board Counsel, to have attorney-client consultations and for the purpose of conducting quasi-judicial deliberations. The Board returned to open session at 10:30 a.m.]

The regularly scheduled meeting of the State Board of Auctioneer Examiners was held on Monday, July 13, 2026. Chairman Daniel Trace called the meeting to order at 10:30 a.m.

Roll Call of Board Members

[Daniel Trace, Chairman, requested a roll call of Board members. A quorum was present.]

Introduction of Attendees

[Terrie Kocher, Board Administrator, provided an introduction of attendees.]

[Shawn J. Jayman, Esquire, Board Counsel, noted the

1 meeting was being recorded, and those who continued
2 to participate were giving their consent to be
3 recorded.

4 Mr. Jayman also noted the Board entered into
5 Executive Session for the purpose of conducting
6 quasi-judicial deliberations on a number of matters
7 currently pending before the Board and to receive the
8 advice of counsel.]

9 ***

10 Approval of Minutes of July 13, 2026

11 CHAIR TRACE:

12 Approval of the minutes. Everybody has
13 had a chance to read the minutes.

14 [Mr. Hostetter questioned the need to receive two
15 copies of the minutes. Mr. Kushlan explained Board
16 Administrators are required to send out a PDF version
17 and a Word version which could be annotated if needed
18 by the Board Member.

19 Mr. Hostetter questioned if the changes to the
20 minutes from March discussed at the previous meeting
21 were recorded and completed as requested. Mr. Eaton
22 explained the general process for minutes approval.
23 It was requested that the changes to the March
24 minutes be verified as complete.]

25 CHAIR TRACE:

1 Any other additions?

2 MR. HOSTETTER:

3 I make a motion to approve the minutes
4 of the May 11th meeting.

5 MR. RADER:

6 I'll second that.

7

8 Matthew Eaton, abstain; Gerald Rader,
9 aye; Thomas Abruzzese, aye; Sherman
10 Hostetter, aye; Mark Wolfe, abstain;
11 Daniel Trace, aye.

12 [The motion carried. Mr. Eaton and Mr. Wolfe
13 abstained from voting on the motion.]

14 ***

15 Report of Board Prosecution - Status of Cases

16 [Adrianne McClendon, Esquire, Board Prosecution
17 Liaison, explained she would be the Board Prosecution
18 Liaison either permanently or until another attorney
19 is hired. She reported the Board currently had 118
20 open cases. Between May 5, 2026 and June 23, 2026,
21 nine cases were opened and 12 cases were closed.
22 Regarding the stage of each case, she reported 115
23 cases were with the Professional Compliance
24 Office/Prosecution; one case was with Counsel; and
25 two cases are assigned to the Prothonotary and

1 Hearing Examiner's Office.

2 Mr. Hostetter thanked Prosecution for closing more
3 cases than what was opened. He referred to the
4 prepared report and asked what PCO was. Ms.
5 McClendon explained PCO was the Professional
6 Compliance Office where complaints are generally
7 filed and opened. The Prosecution office then
8 reviews the cases and determines if an investigation
9 is needed, the case can be closed, or if the case
10 needed to go to a hearing.

11 Mr. Hostetter requested a list of the complaints
12 so the Board could see the major areas of complaint.
13 Ms. McClendon noted the Board was provided with a
14 list of the cases closed during the reporting period.
15 She noted she would check with Mr. Geschwindt on
16 providing the requested list.]

17 ***

18 Report of Board Counsel

19 MR. JAYMAN:

20 Based on Executive Session
21 deliberations, I believe the Chair
22 would entertain a motion to direct
23 Board Counsel to draft an Adjudication
24 and Order addressing exceptions filed
25 by both parties consistent with

1 discussions in Executive Session in the
2 matter of Curtis Wilson, Case No. 22-
3 64-002165.

4 CHAIR TRACE:

5 Do I hear a motion?

6 MR. RADER:

7 So moved.

8 CHAIR TRACE:

9 Second?

10 MR. HOSTETTER:

11 Second.

12

13 Matthew Eaton, aye; Gerald Rader, aye;
14 Thomas Abruzzese, aye; Sherman
15 Hostetter, aye; Mark Wolfe, aye; Daniel
16 Trace, aye.

17 [The motion carried unanimously.]

18 ***

19 Regulatory Report

20 [Marc Farrell, Esquire, Regulatory Counsel, Office of
21 Chief Counsel, reported the Board continued to have
22 one open regulation, 16A-6410, General Revision. He
23 stated Regulatory Counsel had everything needed from
24 the Board for the package, and the package would
25 continue to work through the regulatory review

1 process. His goal was to have the package formally
2 published by the end of the year.]

3 ***

4 Report of Chairperson - No Report

5 ***

6 Report of Acting Commissioner - No Report

7 ***

8 Report of Board Administrator - No Report

9 ***

10 Public Comment

11 [Mr. Abruzzese asked if Mr. Hostetter was attending a
12 national meeting in the fall. Mr. Hostetter
13 confirmed he was actually leaving the following day
14 for the National Auctioneer Association Conference in
15 San Antonio, Texas.

16 Mr. Hostetter commented on the National
17 Auctioneers License Law Officials Association
18 (NALLOA). He reported the next online meeting was
19 scheduled for August 4, 2026 at 3:00 p.m. Any Board
20 Member could attend and Mr. Kushlan was also
21 invited.]

22 ***

23 [A Formal Hearing was held from 10:46 a.m. till
24 11:14 a.m. in the Matter of the Application for a
25 License to Practice as an Auctioneer by Reciprocity

1 of Joseph Swarey, Case No. 26-64-008107, Application
2 No. AA0006882174.]

3 ***

4 Adjournment

5 CHAIR TRACE:

6 Motion for adjournment.

7 MR. HOSTETTER:

8 So moved.

9 CHAIR TRACE:

10 Second?

11 MR. WOLFE:

12 Second.

13 CHAIR TRACE:

14 Thank you all for coming.

15 ***

16 [There being no further business, the State Board of
17 Auctioneers Examiners Meeting adjourned at 11:15
18 a.m.]

19 ***

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CERTIFICATE

I hereby certify that the foregoing summary minutes of the State Board of Auctioneer Examiners meeting, was reduced to writing by me or under my supervision, and that the minutes accurately summarize the substance of the State Board of Auctioneers meeting.



Erin Badstuebner,

Minute Clerk

Sargent's Court Reporting
Service, Inc.

STATE BOARD OF AUCTIONEER EXAMINERS
REFERENCE INDEX
July 13, 2026

TIME	AGENDA
10:00	Executive Session
10:30	Return to Open Session
10:30	Official Call to Order
10:30	Roll Call of Attendance
10:32	Approval of Minutes
10:37	Report of Board Prosecution
10:41	Report of Board Counsel
10:43	Regulatory Report
10:44	Public Comment
10:46	Appointment - Hearing, Joseph Swarey
11:15	Adjournment