

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND SECURITIES

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND
SECURITIES, BUREAU OF SECURITIES
COMPLIANCE AND EXAMINATIONS

v.

412 MANAGEMENT LLC d/b/a WILSON
INSIGHT; and
ROBERT WILSON d/b/a WILSON INSIGHT

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: Docket No.: 240038 (SEC-DO)
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ORDER TO VACATE DENIAL ORDER

WHEREAS, on April 19, 2024, Respondent 412 Management LLC d/b/a Wilson Insight (“Respondent Wilson Insight”) applied for registration as an investment advisor, under Section 301 of the Pennsylvania Securities Act of 1972 (“1972 Act”), 70 P.S. § 1-301; and

WHEREAS, on May 6, 2024, Robert Wilson d/b/a Wilson Insight (“Respondent Wilson”) applied for registration as an investment advisor representative, under Section 301 of the 1972 Act, 70 P.S. § 1-301; and

WHEREAS, on August 7, 2024, pursuant to Section 305 of the 1972 Act, 70 P.S. § 1-305, the Pennsylvania Department of Banking and Securities (“Department”) issued a Denial Order (“Denial Order”) to Respondent Wilson Insight and Respondent Wilson, denying their registration applications based on alleged violations of the 1972 Act, 70 P.S. § 1-101 *et seq*; and

WHEREAS, on April 28, 2025, Respondent Wilson Insight and Respondent Wilson withdrew the registration applications submitted on April 19, 2024, and May 6, 2024; and

WHEREAS, the Department finds that it is necessary and appropriate in the public interest and for the protection of investors, consistent with the purposes fairly intended by the policy and provisions of the 1972 Act, to issue this Order to Vacate Denial Order against

Respondent Wilson Insight and Respondent Wilson; and

NOW, THEREFORE, the Commission directs that the Denial Order issued in this proceeding against Respondent Wilson Insight and Respondent Wilson be and is hereby

VACATED.

IT IS SO ORDERED.

FOR THE COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND SECURITIES
BUREAU OF SECURITIES COMPLIANCE AND EXAMINATIONS



Redacted

Eric Pistilli
Deputy Secretary of Securities

04/29/2025

(Date)

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CERTIFICATE OF SERVICE

I hereby certify that I have this day served a copy of the foregoing *Order to Vacate Denial Order* upon the parties below, who constitute the only parties of record in this proceeding, in accordance with the requirements of 1 Pa. Code §§ 33.35, 33.36 and 33.37:

ELECTRONIC MAIL

412 Management LLC d/b/a Wilson Insight
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Robert Wilson
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McNees Wallace & Nurick LLC
100 Pine Street
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sstaub@mcneeslaw.com

Redacted

Dated this 29th day of April 2025

Eileen Smith
Legal Office Administrator
Office of Chief Counsel
FOR: Commonwealth of Pennsylvania
Department of Banking and Securities
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Harrisburg, PA 17101
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