

**OATH AND ROSTER FORMS
DIRECTORS/TRUSTEES AND OFFICERS - SHAREHOLDER ELECTED**

**(Oath and Roster Forms to be submitted to the Department for the election
of Directors/Trustees and Officers after the annual meeting each year)**

Name of Institution:

--

Bank Headquarters:

Street:	
City:	
County:	

Instructions:

Oath Form

- At the top of the next page, insert the name of your institution.
- Oath Directors/Trustees Table:*

Column 1: Name: Insert the name of each Director/Trustee elected.

Column 2: Date Elected and End of Service Date: Insert the date the Director/Trustee was elected and the date the elected Director's/Trustee's term ends. If the same dates are applicable to all of the directors, then you may indicate so in the top box. *Please use the following date format: MM/DD/YYYY.*

Column 3: Each Director/Trustee must sign his or her name next to where his or her name is printed in Column 1. The signatures must be witnessed by a notary public and the form notarized at the bottom.

REPORT OF SHAREHOLDER ELECTIONS FOR DIRECTORS/TRUSTEES AND OFFICERS
INSTRUCTIONS

Report of Elections of Directors/Trustees

1. Institution Name: Please insert the name of your institution.
2. Table of Directors/Trustees:
 - *Column 1: Name*: Indicate the names of all of the directors elected at the shareholder meeting. *Please indicate the Chairman of the Board within the table where indicated.*
 - *Column 2: Election Date and Type of Meeting*: Indicate the date of the annual or special meeting of shareholders where the director was elected and specify in the same box what type of meeting it was: an annual or special shareholder meeting. If the date and meeting description is applicable for all of the directors/trustees, you may indicate so in the top box. If your Directors/Trustees are elected in classes, please indicate the applicable dates and the applicable class, *i.e.* Class A, B, or C.
 - *Column 3: End of Service Date*: Indicate the date upon which the elected director's term ends.

Report of Elections of Officers

1. Report of Elections of Officers Table:
 - Institution Name: Insert the name of your institution.
 - *Column 1: Title*: Indicate the titles of the officers that were elected. The first 4 boxes are pre-filled; if a title is not applicable, please indicate so in the table.
 - *Column 2: Name*: Indicate the name of the officer corresponding to the title in Column 1.
 - *Column 3: Election Date and End of Service Date*: Indicate the date upon which the officer was elected and date the officer's term ends. If the same date is applicable for all officers, you may indicate so in the top box. *Please use the following date format: MM/DD/YYYY.*
2. Please also note the following:
 - The President may not also be the Treasurer.
 - The President must be a Director/Trustee.
 - The form must be signed by the President or Secretary where indicated.

Additional Information

If you would like to provide additional information or explanatory comment, please place the additional information on the last page.

Change in Officer or Director/Trustee: If a director/trustee or officer changes (due to resignation, death or otherwise) the Department must be promptly notified indicating the reason for the change and the bank must inform the Department of the new officer or Director/Trustee selected to fill the vacancy. For new Directors/Trustees, an Oath must be completed prior to service.

Report of Elections of Officers

The following officers were duly elected by the Board of Directors/Trustees on the Election Date indicated below to serve to the End of Service Date.

Title	Name	Election Date/End of Service Date
Chief Executive Officer		
President		
Treasurer		
Secretary		

The election of said officers is in accordance with the provisions of the Banking Code of 1965, and the Bylaws of said institution.

President or Secretary

Certificate of Official of Submitting Institution

I, _____, _____ of this institution, do hereby certify that the information contained herein and contained in the attachments hereto is true and correct to the best of my knowledge and belief and is submitted to the Department of Banking and Securities for the purpose of annual Oath and Roster reporting. I make this certification subject to the penalties of 18 Pa, C.S.A. § 4904 relating to unsworn falsification to authorities.

Signature of Officer

Date of Submission

Additional Information: