Preadmission Screening Resident Review (PASRR) Level I (MA 376)

October 2024



1

Objectives

- Overview of the Federal (CMS) Requirements
- What does Preadmission Screening mean
- Review of the PASRR Level I Form
- > PASRR Scenarios
- > PASRR Criteria before and after Admission
- ➤ PASRR Letter of Determination
- ➤ Important Websites
- > Who to call with Questions

October 2024



PASRR Background

- Requirement under Medicaid, pursuant to OBRA (Omnibus Budget Reconciliation Act) 1987 and 42 Code of Federal Regulation § 483.100-483.138.
- · Part of the licensure for Medicaid Nursing Facility (NF).
- Applies to all individuals seeking admission to a Medicaid certified nursing facility regardless to the individual's insurance or payor source.
- This ensures that individuals are placed in the least restrictive setting possible and are not inappropriately placed in a long-term care nursing facility.
- Pennsylvania regulations were addressed in the Pennsylvania Bulletin, volume 18, Number 52 on December 24, 1988. There are numerous sections to this act including the Admissions Notice Packet (MA 401).

October 2024



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3

PASRR Requirements

- This process applies to all nursing facility (NF) applicants, regardless of payer source. All current NF residents must have the appropriate form(s) on their record. The Preadmission Screening Resident Review (PASRR) Level I identification form and PASRR Level II evaluation form, if necessary, must be completed <u>prior</u> to admission as per Federal PASRR Regulations 42 CFR § 483.106.
- Failure to timely complete (prior to admission) the PASRR process will result in forfeiture of Medicaid Reimbursement to the NF during the period of noncompliance in accordance with Federal PASRR Regulations (CFR § 483.122(b)).

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Prior to Entering a Nursing Facility

Prior to Admission, the following must be done:

- PASRR Level I
- PASRR Level II (if needed)
- Receipt of the Program Office (PO) Letter of Determination if the PASRR Level II is completed.

October 2024

5

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5

PASRR Reminders

- The PASRR Level I must be completed prior to admission.
- Only one PASRR on the clinical record per admission, unless a new PASRR Level I generated a Program Office Letter.
- A new PASRR Level I is not to be completed when there are changes needed to the PASRR Level I form. The original form just needs to be updated to reflect the change.
- The PASRR Level I may be filled out by anyone who knows the health history of the individual.

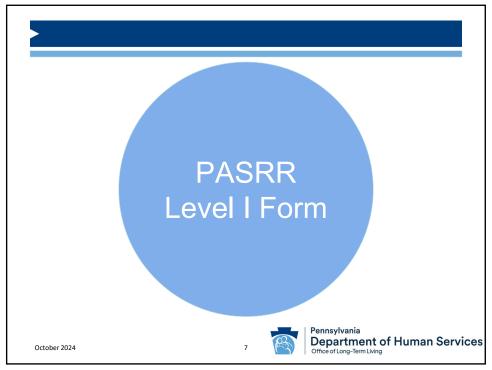
How to update a PASRR Level I

- Update diagnosis, psych stay, or additional information that affects PASRR, directly on the original PASRR Level I form (the one that is dated prior to admission).
- If a change in criteria results in a PASRR Level II needing to be done, page 7 will need to be updated to reflect that a PASRR Level II now needs to be completed.
- Any updates made are expected to be initialed and dated.

October 2024



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Section I – Demographics & Communication PENNSYLVANIA PREADMISSION SCREENING RESIDENT REVIEW (PASRR) **IDENTIFICATION LEVEL I FORM** (Revised 7/1/2024) This process applies to all nursing facility (NF) applicants, regardless of payer source. All current NF residents must have the appropriate form(s) on their record. The Preadmission Screening Resident Review (PASRR) Level I identification form and PASRR Level II evaluation form, if necessary, must be completed **prior to** admission as per Federal PASRR Regulations 42 CFR § 483.106. NOTE: FAILURE TO TIMELY COMPLETE THE PASRR PROCESS WILL RESULT IN FORFEITURE OF MEDICAID REIMBURSEMENT TO THE NF DURING PERIOD OF NON-COMPLIANCE IN ACCORDANCE WITH FEDERAL PASRR REGULATIONS 42 CFR § 483.122. Section I - DEMOGRAPHICS DATE THE FORM IS COMPLETED: __ SOCIAL SECURITY NUMBER (all 9 digits):. APPLICANT/RESIDENT NAME - LAST, FIRST: Does the applicant/resident require assistance with communication, such as an interpreter or other accommodation, to participate in or understand the PASRR process? **Department of Human Services** October 2024

Section II - NEUROCOGNITIVE DISOR	DER (NCD)/DEMENTIA
and it represents a decline from a previou	natic Brain Injury, Huntington's, etc.), the primary clinical deficit is in cognitive function, usly attained level of functioning. NCD can affect memory, attention, learning, language, erfere significantly with a person's everyday independence in Major NCD, but not so in
Does the individual have a diagnosis	of a Mild or Major NCD?
NO – Skip to Section III Diagnosis and Date (if known):	☐ YES
2. Has the psychiatrist/physician indicat	ted the level of NCD?
□ NO	YES – indicate the level: Mild Major
3. Is there corroborative testing or other	r information available to verify the presence or progression of the NCD?
□ NO	YES – indicate what testing or other information:
☐ NCD/Dementia Work up	☐ Comprehensive Mental Status Exam
Other (Specify):	
	WILL NOT AUTOMATICALLY EXCLUDE AN INDIVIDUAL FROM A PASRR

Section III — Mental Health (MH) Ctober 2024 Pennsylvania Department of Human Services Office of Long-TermILiving

. 5	Section II	II-A Related	Ques	stions
<u>Se</u>	ction III - MENTAL HE	EALTH (MH)		
Ser	rious Mental Illness dia	agnoses may include: Schizophre	enia, Schizoa	affective Disorder, Delusional Disorder, Psychotic Disorder,
		•		Symptom Disorder, Bipolar Disorder, Depressive Disorder,
		er that may lead to chronic disabil	.ity.	
	A - RELATED QUE	STIONS		
1.	Diagnosis			
	Does the individual h to a chronic disability		suspected m	nental health condition, other than Dementia, that may lead
	List Mental Heal	Ith Diagnosis(es):		
2.	Substance related of	disorder		
	Does the individ years?	ual have a diagnosis of a substar	nce related di	isorder, documented by a physician, within the last two
	■ NO	■ YES		
	b. List the substance	ce(s):		
	a la the pood for N	NF placement associated with this	diagnosica	
	c. Is the need for N	F placement associated with this	dlagnosis	UNKNOWN
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> Sec	ction III-A Related Questions
<u> </u>	-B - RECENT TREATMENTS/HISTORY: The treatment history for the mental disorder indicates that the individual has experienced at least one of the following:
	A "YES" TO ANY QUESTION IN SECTION III-B WILL REQUIRE A PASRR LEVEL II EVALUATION BE COMPLETED.
1.	Mental Health Services (check all that apply):
	a. Treatment in an acute psychiatric hospital at least once in the past 2 years: NO YES – Indicate name of hospital and date(s):
	b. Treatment in a partial psychiatric program (Day Treatment Program) at least once in the past 2 years: NO YES – Indicate name of program and date(s):
	c. Any admission to a state hospital: NO YES – Indicate name of hospital and date(s):
	d. One stay in a Long-Term Structured Residence (LTSR) in the past 2 years:
	A LTSR is a highly structured therapeutic residential mental health treatment facility designed to serve persons 18 years of age or older who are eligible for hospitalization but who can receive adequate care in an LTSR. Admission may occur voluntarily.
	NO YES – Indicate name of LTSR and date(s):
	e. Electroconvulsive Therapy (ECT) for the Mental Health Condition within the past 2 years:
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		Manager, Resource Coordinator (R	C), Community Trea	er (Intensive Case Manager (ICM), Blended or Targeted Case atment Team (CTT) or Assertive Community Treatment (ACT))? other mental health practitioners that provide mental health treatment.			
		□ NO □ YES		nien mental neatal practatoriers that provide mental neath a catherit.			
		Indicate Name, Agency, and Teleph	one Number of Mer	ntal Health Case Manager:			
2.	Significant Life disruption due to a Mental Health Condition						
	Experienced an episode of significant disruption (may or may not have resulted in a 302 commitment) due to a Mental Health Condition within the past 2 years:						
	a. Suicide attempt or ideation with a plan:						
	NO YES – List Date(s) and Explain:						
	b.	Legal/law intervention:	□ NO	☐ YES – Explain:			
		Legal/law intervention: Loss of housing/Life change(s):	□ NO	☐ YES - Explain:			

Section III-C Level of Impairment III-C - LEVEL OF IMPAIRMENT: The mental disorder has resulted in functional limitations in major life activities that are not appropriate for the individual's developmental stage. An individual typically has at least one of the following characteristics on a continuing or intermittent basis. A CHECK IN ANY BOX IN SECTION III-C WILL REQUIRE A PASRR LEVEL II EVALUATION BE COMPLETED. ■ 1. Interpersonal functioning - The individual has serious difficulty interacting appropriately and communicating effectively with other individuals, has a possible history of altercations, evictions, firing, fear of strangers, avoidance of interpersonal relationships and social isolation. . Concentration, persistence and pace - The individual has serious difficulty in sustaining focused attention for a long enough period to permit the completion of tasks commonly found in work settings, or in work-like structured activities occurring in school or home settings, manifests difficulties in concentration, is unable to complete simple tasks within an established time period, makes frequent errors, or requires assistance in the completion of these . 3. Adaptation to change - The individual has serious difficulty adapting to typical changes in circumstances associated with work, school, family, or social interaction; manifests agitation, exacerbated signs and symptoms associated with the illness; or withdrawal from the situation; or requires intervention by the mental health or Judicial system. NOTE: A PASRR LEVEL II EVALUATION MUST BE COMPLETED BY AGING WELL OR THE OFFICE OF LONG-TERM A PASK LEVEL II EVALUATION WIGHTS OF CONTINUE IS BY AGING WELL ON THE OFFICE OF LOW-TERM LIVING (OLTL) FIELD OPERATIONS (FOR A CHANGE IN CONDITION IN A NF) AND FORWARDED TO THE OFFICE OF MENTAL HEALTH AND SUBSTANCE ABUSE SERVICES (OMHSAS) PROGRAM OFFICE FOR FINAL DETERMINATION IF THE INDIVIDUAL HAS A "YES" IN ANY OF SECTION III-B AND/OR III-C AS A RESULT OF A CONFIRMED OR SUSPECTED MENTAL HEALTH CONDITION. **Department of Human Services** October 2024

Section IV – Intellectual Disability/Developmental Disability (ID/DD)

October 2024

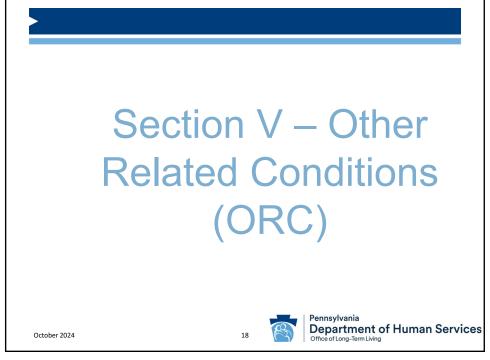
15

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15

Section IV Intellectual/Developmental Disability Section IV- INTELLECTUAL DISABILITY/DEVELOPMENTAL DISABILITY (ID/DD) An individual is considered to have evidence of an intellectual disability/developmental disability if they have a diagnosis of ID/DD IV-A - Does the individual have current evidence of an ID/DD or ID/DD diagnosis (mild, moderate, severe or profound)? □ NO – Skip to IV-C □ YES – List diagnosis(es) or evidence: □ IV-B - Did this condition occur prior to age 18? YES CANNOT DETERMINE IV-C – Is there a history of a severe, chronic disability that is attributable to a condition other than a mental health condition that could result in impairment of functioning in general intellectual and adaptive behavior? ☐ NO – Skip to Section IV-D ☐ YES – Check below, all that applied prior to age 18: Self-care: A long-term condition which requires the individual to need significant assistance with personal needs such as eating, hygiene, and appearance. Significant assistance may be defined as assistance at least one-half of all activities normally required for self-care. Receptive and expressive language: An individual is unable to effectively communicate with another person without the aid of a third person, a person with special skill or with a mechanical device, or a condition which prevents articulation of thoughts. Learning: An individual that has a condition which seriously interferes with cognition, visual or aural communication, or use of hands to the extent that special intervention or special programs are required to aid in learning. Mobility: An individual that is impaired in their use of fine and/or gross motor skills to the extent that assistance of nother person and/or a mechanical device is needed in order for the individual to move from place to place. Self-direction: An individual that requires assistance in being able to make independent decisions concerning social and individual activities and/or in handling personal finances and/or protecting own self-interest Capacity for independent living: An individual that is limited in performing normal societal roles or is unsafe for the individual to live alone to such as extent that assistance, supervision or presence of a second person is required more **Department of Human Services** October 2024

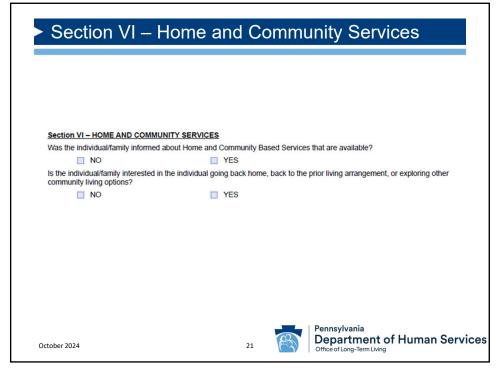
	ction IV continued
<u>IV-D</u> –	Has the individual ever been registered with their county for ID/DD services and/or received services from an ID/DD provider agency within Pennsylvania or in another state?
	If yes, indicate county name/agency and state if different than Pennsylvania.
	Name of Support Coordinator (if known)
<u>IV-E</u> –	Was the individual referred for placement by an agency that serves individuals with ID/DD? NO YES
<u>IV-F</u> –	Has the individual ever been a resident of a state facility for ID including a state operated ICF/ID or center? NO
	YES – Indicate the name of the facility and the date(s): UNKNOWN
NOT	E: A PASRR LEVEL II EVALUATION MUST BE COMPLETED BY AGING WELL OR OLTL FIELD OPERATIONS (FOR A CHANGE IN CONDITION IN A NF) AND FORWARDED TO THE OFFICE OF DEVELOPMENTAL PROGRAMS (ODP) PROGRAM OFFICE FOR FINAL DETERMINATION IF: • THE INDIVIDUAL HAS EVIDENCE OF AN ID OR AN ID/DD DIAGNOSIS AND HAS A "YES" OR "CANNOT DETERMINE" IN IV-8 AND A "YES" IN IV-6 WITH AT LEAST ONE FUNCTIONAL LIMITATION, OR • THE INDIVIDUAL HAS A "YES" IN IV-D, OR E, OR F.

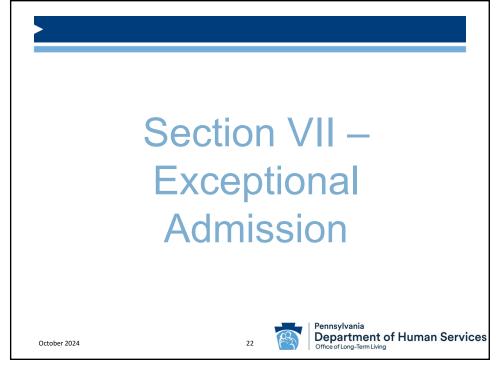


Section V — Other Related Conditions Section V — Other Related Conditions "ORC" include physical, sensory or neurological disability(les). Examples of an ORC may include but are not limited to: Arthritis, Juvenile Rheumatoid Arthritis, Cerebral Palsy, Autism, Epilepsy, Seizure Disorder, Tourette's Syndrome, Meningitis, Encephalitis, Hydrocephalus, Huntingdon's Chorea, Multiple Sclerosis, Muscular Dystrophy, Polio, Spina Bilida, Anoxic Brain Damage, Blindness and Deafness, Paraplegia or Quadriplegia, head injuries (e.g. gunshot wound) or other injuries (e.g. spinal injury), so long as the injuries were sustained prior to age of 22. V-A - Does the individual have an ORC diagnosis that manifested prior to age 22 and is expected to continue indefinitely? NO - Skip to Section VI YES - Specify the ORC Diagnosis(es): Pennsylvania Department of Human Services Ortice of Long-Term Living

19

Other Related Conditions continued <u>V-B</u> – Check all areas of substantial functional limitation which were present prior to age of 22 and were directly the result of Self-care: A long-term condition which requires the individual to need significant assistance with personal needs such as eating, hygiene, and appearance. Significant assistance may be defined as assistance at least one-half of all activities normally required for self-care. Receptive and expressive language: An individual is unable to effectively communicate with another person without the aid of a third person, a person with special skill or with a mechanical device, or a condition which prevents Learning: An individual that has a condition which seriously interferes with cognition, visual or aural communication, or use of hands to the extent that special intervention or special programs are required to aid in learning. Mobility: An individual that is impaired in their use of fine and/or gross motor skills to the extent that assistance of another person and/or a mechanical device is needed in order for the individual to move from place to place Self-direction: An individual that requires assistance in being able to make independent decisions concerning social and individual activities and/or in handling personal finances and/or protecting own self-interest. Capacity for independent living: An individual that is limited in performing normal societal roles or is unsafe for the individual to live alone to such as extent that assistance, supervision or presence of a second person is required more than half the time (during waking hours). NOTE: A PASRR LEVEL II EVALUATION MUST BE COMPLETED BY AGING WELL OR OLTL FIELD OPERATIONS (FOR A CHANGE IN CONDITION IN A NF) AND FORWARDED TO THE ORC PROGRAM OFFICE FOR FINAL DETERMINATION, IF THE INDIVIDUAL HAS AN ORC DIAGNOSIS PRIOR TO THE AGE OF 22 AND AT LEAST ONE **BOX CHECKED IN V-B Department of Human Services** October 2024





	- Exceptional Admission
Section VII - EXCEPTIONAL ADI	
	ria to have a PASRR Level II Evaluation done by one of the Program Offices, is not a danger to criteria for Exceptional Admission to a NF below?
■ NO – Skip to Section	
NOTE: IT IS THE RESPONSIBIL ADMISSION.	ILITY OF THE NF TO VERIFY THAT ALL CRITERIA OF THE EXCEPTION ARE MET PRIOR TO
Check the Exceptional Admission	on that applies:
NOTE: Exceptional Hospital D	DD, or ORC: If from the Acute Care Hospital after receiving acute inpatient medical care, AND Discharge cannot be an admission from any of the following: emergency room, observational hospital stay, rehabilitation e Care Hospital (LTACH), inpatient psych, behavioral health unit, or hospice facility.
 Requires NF services for (Specify the condition: 	or the same medical condition for which the individual received care in the Acute Care Hospital,
	shall document on the medical record (which the NF must have prior to admission) that the less than 30 calendar days of NF service and the individual's symptoms, or behaviors
■ NO	YES – Physician's name:

	xceptional	Admissior	col	ntinu	ed
□ <u>VII-B</u>	for a period up to 14-da	The state of the s	n if they ar		DD, or ORC, may be admitted for Respite Care y a referring or individual's attending physician
	□ NO	☐ YES			
<u>VIPC</u>	emergency placement physician has certified		without fur		pus MI, ID/DD, or ORC, may be admitted for tion if the Protective Services Agency and their
	□ NO	YES			
□ <u>VII-D</u>	admitted without furthe brain stem level. The c	r evaluation if certified by the	e referring e 24-hour	or attending nursing facil	al with a serious MI, ID/DD, ORC may be g physician to be in a coma or who functions at lity services and supervision and is so extreme alized services.
	□ NO	YES			
				_	Pennsylvania

For a Change in Exceptional Status

FOR A CHANGE IN EXCEPTIONAL STATUS:

IF THE INDIVIDUAL'S CONDITION CHANGES OR THE INDIVIDUAL WILL BE IN THE NF FOR MORE THAN THE ALLOTTED DAYS:

- OLTL Field Operations must be notified on the MA 408 within 48 hours that a PASRR Level II Evaluation needs to be completed within the timeframes as noted below:
 - If VII-A is a "YES", the PASRR Level II must be done on or before the 40th day from the date of admission.
- If VII-B is a "YES", the PASRR Level II must be done on or before the 24th day from the date of admission.
- If VII-C is a "YES", the PASRR Level II must be done on or before the 17th day from the date of admission.
- If VII-D is a "YES", the PASRR Level II must be done when the individual comes out of the Coma.
- Do not complete a new PASRR Level I form; just update the current form with the changes and initial the changes.
 Enter your full signature and date below to indicate you made the changes to this form.

SIGNATURE OF PERSON NOTIFYING FIELD OPERATIONS

DATE OF NOTIFICATION

October 2024

25



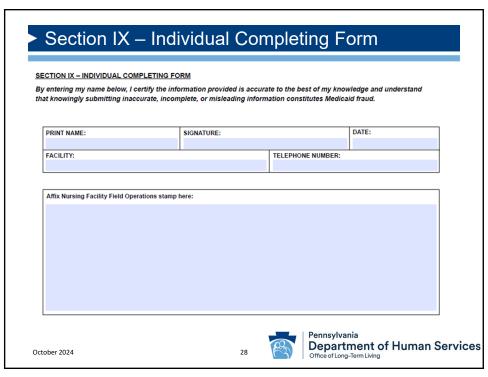
25

Section VIII – PASRR Level I Screening Outcome

October 2024



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> Sec	Section VIII – PASRR Level I Screening Outcome					
	VIII – PASRR LEVEL I SCREENING OUTCOME appropriate outcome:					
	Individual has <u>negative screen</u> for Serious MI, ID/DD, or ORC; no further evaluation (Level II) is necessary.					
	Individual has a <u>positive screen</u> for Serious MI, ID/DD, and/or ORC; the individual will require a further PASRR Level II evaluation. You must notify the individual that a further evaluation needs to be done. Have the individual or their legal representative sign that they have been notified of the need to have a PASRR Level II evaluation done. Indicate by your signature here that you have given the notification (last page of this form) to the individual or their legal representative. Name of Individual or legal representative that has received the notification (page 8):					
	NAME: SIGNATURE:					
	(sign) Name of individual who filled out the PASRR Level I and gave the notification to the individual/legal representative:					
	NAME: SIGNATURE:					
	(print) (sign)					
	Individual has <u>positive screen</u> for a further PASRR Level II evaluation <u>but has a condition which meets the criteria for an Exceptional Admission</u> indicated in Section VII. NF must report Exceptional Admissions on the PASRR Positive Resident Reporting Form (MA 408).					
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Notification of the Need for a PASRR Level II Evaluation

NOTIFICATION OF THE NEED FOR A PASRR LEVEL II EVALUATION

All persons considering admission to a nursing facility for care must be screened with the Preadmission Screening Resident Review (PASRR) Level I to identify for any evidence of mental illness (MI), intellectual disability/developmental disability (ID/DD), or another related condition (ORC). If you do have evidence or suspicion of MI, ID/DD, or ORC, you need to have a further PASRR Level II evaluation completed before you can be admitted to a nursing facility for care.

You have had the PASRR Level I screening process done and you are in need of a further PASRR Level II evaluation to make certain that a nursing facility is the most appropriate setting/placement for you and to identify the need for possible MI, ID/DD, or ORC services in the nursing facility's plan of care for you, if you choose to be admitted to a nursing facility.

You will have this evaluation done within the next several days to determine your need

Federal PASRR Regulation:

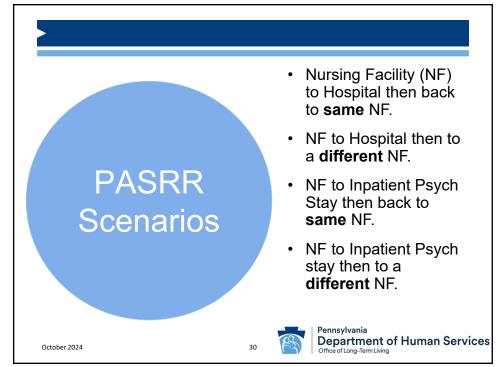
https://www.ecfr.gov/current/title-42/chapter-IV/subchapter-G/part-483/subpart-C?toc=1

October 2024

29

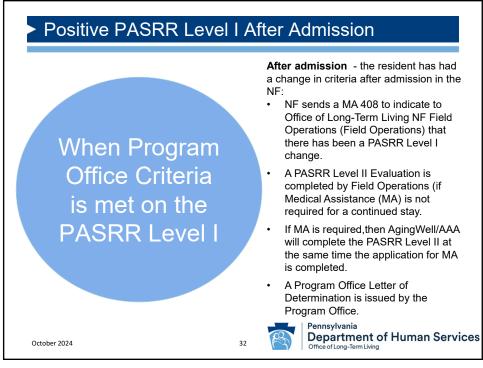
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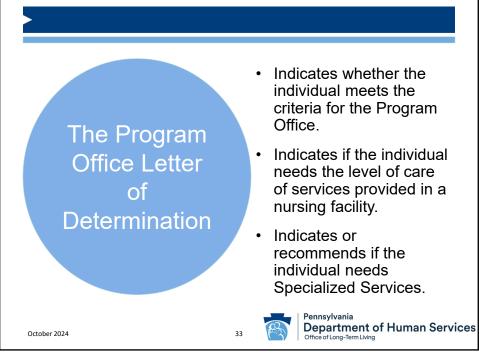
29



Positive PASRR Level I Before Admission: When Program Office Criteria is met on the PASRR Level I A PASRR Level II Evaluation is completed by Aging Well, and A Program Office Letter of Determination is issued.

31





Important Websites

- Pennsylvania PASRR Website: https://www.dhs.pa.gov/providers/Providers/Pages/PASRR-Process.aspx
- Pennsylvania Out of State Process for PASRR:
 https://www.dhs.pa.gov/providers/Providers/Pages/Out-of-State-Seeking-Long-Term-Care.aspx
- Long-Term Care Nursing Facility Provider Website: https://www.dhs.pa.gov/providers/Providers/Pages/Long-Term-Nursing-Facilities.aspx
- Website to order MA Forms: https://www.dhs.pa.gov/docs/Publications/Pages/Medical-Assistance-Provider-Forms.aspx

October 2024



Pennsylvania PASRR Resources

PASRR Forms & Documents

PASRR Level I (MA376) Form

https://www.pa.gov/content/dam/copapwp-

<u>pagov/en/dhs/documents/providers/providers/documents/pasrr/ma-376-pasrr-level-1-form.pdf</u>

PASRR Level II (MA376.2) Form

https://www.pa.gov/content/dam/copapwp-

pagov/en/dhs/documents/providers/providers/documents/pasrr/Level%20II%20 PASRR%20Evaluation%20Form.pdf

Nursing Facility PASRR Positive Reporting Information Form (MA408) Form

https://www.pa.gov/content/dam/copapwp-

pagov/en/dhs/documents/providers/documents/long_term_care_providers/MA-408_Form-03.2024.pdf

October 2024

35

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35

Pennsylvania PASRR Resources

PASRR Bulletins

PASRR Level I (MA376) Bulletin

https://www.pa.gov/content/dam/copapwp-

 $\underline{pagov/en/dhs/documents/providers/providers/documents/pasrr/ma-376-bulletin-pasrr-level-1-\underline{updated-6.17.24.pdf}$

PASRR Level II (MA376.2) Bulletin

https://www.pa.gov/content/dam/copapwp-

pagov/en/dhs/documents/providers/documents/long term nursing facilities/pasrr/MA-376.2-Bulletin-PASRR-Level-II-effective-09.2018.pdf

Nursing Facility PASRR Positive Reporting Information Form (MA 408) Bulletin

https://www.pa.gov/content/dam/copapwp-

pagov/en/dhs/documents/providers/providers/documents/pasrr/MA-408_Bulletin-03.2024.pdf

October 2024



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Pennsylvania PASRR Resources

Additional Resources and Documents

• PASRR Clarifications and Frequently Asked Questions (March 2024)
https://www.pa.gov/content/dam/copapwppagov/en/dhs/documents/providers/documents/long_term_care_providers/PASRR-Clarifications-Frequently-Asked-Questions-Revised-03.2024.pdf

- Handouts for Trainings
- PASRR Training Webinars

PASRR Contacts

- Field Operations Offices
- · Program Office Contacts
- State PASRR Coordinator at 717-214-3736

October 2024

37

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37

