



**COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF GENERAL SERVICES**

**CAPITAL PROGRAMS
PROFESSIONAL SELECTIONS
PROCESS
GUIDELINES**

2023 Edition
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Governor

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Secretary

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1. INTRODUCTION

The purpose of this Professional Selections Guidelines (Guidelines) is to describe the normal process and steps that will be undertaken by the Department of General Services Capital Programs personnel (DGS) when procuring a design professional(s) for a capital project.

2. SELECTIONS SCORING MATRIX WEIGHTING, POST SHORT-LIST REQUIREMENTS, AND GOAL SETTING

Prior to the Notice of Meeting of the Selections Committee for Professional Selections for capital project(s), an inter-agency “Ad-Hoc Committee” may be formed. The Ad-Hoc Committee, if formed, will be specific to a particular project and be comprised of at least one, but not more than two representatives from DGS and at least two, but not more than three representatives from the agency for which the project is to be designed and constructed. The Ad-Hoc Committee shall meet to review the project program and weight the criteria on the Selections Scoring Matrix for which professionals will be evaluated, (**Exhibit A**). The Ad-Hoc Committee will also determine what, if any, additional information will be requested from the firms short-listed by the Selection Committee. This information may include, but is not limited to, detailed work plans, interviews, non-binding fee proposals, etc. The request for additional information that will be required from the short-listed firms will be published in the project advertisement.

Also prior to the Notice of Public Meeting, Capital Programs will provide the Bureau of Diversity, Inclusion and Small Business Opportunities (BDISBO) the project program and information on the scope of the project. BDISBO, in collaboration with Capital Programs, will establish Small Diverse Business (SDB) and Veteran Business Enterprise (VBE) participation goals for the project based on the project program information and the location of the project. These goals will be published in the project advertisement and included in the Solicitation Documents folder.

3. SELECTION SCORING MATRIX

The selection scoring matrix has five sections that correspond to the factors established in the Commonwealth Procurement Code.

The factors outlined in the Procurement Code consist of:

- 905(e)(2)(i) Equitable distribution of contracts to design professionals.
- 905(e)(2)(ii) Particular capability to perform the design services for the contract being considered.
- 905(e)(2)(iii) Geographic proximity of the design professional to the proposed facility.
- 905(e)(2)(iv) Necessary available personnel to perform the services required by the project.
- 905(e)(2)(v) Other relevant circumstances peculiar to the proposed contract.

Factor 5 of the Scoring Matrix, Professional Evaluation, will be utilized to evaluate the applicant’s past performance with Capital Programs and, as necessary, other factors peculiar to the proposed contract. Additional sub-factors for consideration have also been described under the five factors. The Ad-Hoc Committee assigns maximum point values to each factor or sub-factor. The distribution of points will vary per project. Factor 5, Professional Evaluation, will always receive 200 of the points.

4. PUBLIC NOTICE

The Notice of Public Meeting of the Selections Committee for Professional Selections for capital projects will be posted on DGS' website approximately sixty (60) days prior to the meeting date. Public notice of the project will be given in the same manner as a public notice is given for the competitive sealed bidding process. Applicants will be given a reasonable time, approximately four weeks between the Notice of the Meeting and the Application Submission Deadline, to prepare and submit an application.

5. DESIGN PROFESSIONAL SELECTIONS APPLICATION FOR SPECIFIC PROJECT & SMALL DIVERSE BUSINESS (SDB) AND VETERAN BUSINESS ENTERPRISE (VBE) PARTICIPATION FORMS

The Application (**Exhibit B**), SDB Participation forms (**Exhibit C**), and VBE Participation forms (**Exhibit D**), will be available to download through e-Builder, a web-based construction management system. Firms interested in applying will be required to register with e-Builder. A link in the eMarketplace advertisement will direct firms to the e-Builder registration site. A new Application and SDB and VBE Participation forms must be completed and uploaded electronically, by the application deadline, through an e-Builder bid portal for each project for which the professional is interested in being considered.

To be considered by DGS, the Applicant must submit a responsive Application and be a responsible design professional (See section 18). Failure to include the SDB and VBE Participation forms will result in rejection of the Application as non-responsive. The SDB and VBE Participation forms will be reviewed by BDISBO and Capital Programs immediately following the application submission deadline. Applications with non-compliant SDB or VBE Participation forms will be disqualified and rejected as non-responsive. Compliant applications will be reviewed by Capital Programs and the Selections Committee to evaluate how firms meet the criteria in the Scoring Matrix.

The Application form may not be altered. Additional attachments to the Application are not permitted. Capital Programs will score the Distribution of Work, Geographic Location, and Professional Evaluation categories. The Selections Committee will evaluate the capacity of the applicants to perform design services and the ability to provide Necessary Personnel.

6. SELECTIONS COMMITTEE

The Selections Committee for Professional Selections is appointed by the Governor in accordance with the Commonwealth Procurement Code. It is comprised of five members, none of whom may be employees of the Commonwealth or hold any elective office or office in any political party. The members shall be architects, engineers, or other persons knowledgeable in construction. Capital Programs will give public notice of their meeting at which they will recommend a short-list of qualified design firms for consideration on each project.

At the advertised meeting, the following sequence of events will occur for each project:

- 1) Each Committee member submits their scoring matrices.
- 2) Capital Programs reads the scores for Sections A, C, and E.
- 3) The Committee members read publicly their scores for Sections B and D.
- 4) The scores are tallied and totaled for each section.

5) The points for each of the five sections are totaled and the firms with the highest total scores shall be the short-listed firms. The number of short-list firms will typically be three, unless there is a tie. If more than one firm ties for the most points, all firms with the same score shall be included until the total exceeds three. For example: if 4 firms score 800, and the next highest score is 750, the short-list would be the 4 firms that scored 800, and the firm that scored 750 would not be included. If two firms scored 800 and there were 3 firms that score 750 as the next highest total, then both the two firms with 800 and the 3 firms with 750 would make the short-list. If the total number of firms short-listed exceeds 5, DGS reserves the right to ask the Selections Committee to re-score the top firms.

7. AD-HOC COMMITTEE REVIEW OF SHORT-LISTED FIRMS

If additional information is required of the short-listed firms, they will be notified and given approximately four weeks to assemble and submit the additional information. The Ad-Hoc Committee will review the additional information and forward a recommendation to the Secretary of the Department of General Services. If no additional information is required, the Ad-Hoc Committee will review the short-listed firms' applications and forward a recommendation to the Secretary of the Department of General Services. If acceptable, the Secretary will issue a letter to the successful firm notifying them that they will be contacted to commence negotiation on certain aspects of the professional agreement. The unsuccessful firms will also be notified.

8. COMMITTEE STATEMENTS OF CONFIDENTIALITY AND NO CONFLICT OF INTEREST

All Committee members are required to sign a Statement of Confidentiality (**Exhibit E**) and a Statement of No Conflict of Interest (**Exhibit F**). Committee members will sign the Statement of Confidentiality when they are appointed or invited to serve on the Committee. The Statement of Confidentiality ensures that non-public information remains confidential and outside parties do not have access to Committee information and cannot influence the outcome. Any Committee member who violates the terms of these statements is subject to termination.

All others requiring non-public information regarding the Selections process shall sign a Statement of Confidentiality (Exhibit E).

Committee members will sign the Statement of No Conflict of Interest when the applications are received, and they reviewed the identities of Applicants and consultants for conflicts. Any Committee member that violates the terms of these statements is subject to termination.

9. COMMITTEE AUDITS

The Committee will be subject to random audits by Commonwealth personnel. The audits may include the review of Committee procedures, documents, and decision-making processes.

10. RECEIPT OF APPLICATIONS

All applications must be signed by an official authorized to bind the applicant and uploaded electronically through an e-Builder bidder portal by the date and time established in the Notice of Public Meeting. Applications will not be accepted through any other method of delivery. Any application received after the Application Submission Deadline will be rejected.

The e-Builder "bidder portal" permits project specific documents to be reviewed and downloaded and a completed "Design Professional Selection Applications" to be uploaded (submitted) by design professionals interested in selection for a specific project.

1) REGISTRATION REQUIREMENTS:

- All Professionals must have a current, active Vendor Number to submit an application. Please register at <https://pasupplierportal.state.pa.us>
- All Professionals must be registered within e-Builder to view/download project documents. Professionals can register with e-Builder through a link in the project specific professional selections advertisement on eMarketplace. <http://www.emarketplace.state.pa.us>

Once registered with e-Builder the professional creates a Username and Password. Upon logging in, the Professional will be required to verify their company and contact information and click on the “Save” button to proceed to the e-Builder “Project Bidding Details” page. This page provides general information and has 3 tabs that allow the professional to review and download documents (Package Invitation tab), submit a completed application and SDB and VBE response packets (Response Form tab) and submit questions (Q&A Board tab).

To review/download project documents, the professional selects the Package Invitation tab, then selects the Invitation Documents sub-tab and then opens the Solicitation Documents folder. Within the folder is the Project Program Development Study (PDS), the Project Scoring Matrix, the “Design Professional Selections Application for a Specific Project,” SDB and VBE form packets (if applicable to project), and other informational documents regarding the selections process. If a professional wishes to submit a “Design Professional Selection Application” for a project, the Application and the SDB and VBE Participation forms must be downloaded, completed, uploaded, and submitted through this e-Builder bidding portal.

2) BID PORTAL NOTES:

- Applicants will have up until the close of the bidding period (Application deadline) to remove and resubmit the required application and SDB/VBE forms within the e-Builder bidding module.
- If multiple applications and SDB/VBE packets are received from a firm, only the latest version will be accepted as submitted.
- Three separate files need to be uploaded in the Step 2: Response Documents sub-tab of the bidding module prior to the advertised deadline: 1. The Application, 2. the SDB Participation forms, and 3. the VBE Participation forms.

To submit a completed Design Professional Selection Application and the required SDB and VBE participation packets, the professional should return to the e-Builder bidding portal and select the Response Form tab.

- In Step 1: Bid Form: the applicant **MUST** enter zero (0) as the total cost. Cost is not a consideration for Selections, but the module requires an entry, so applicants must enter zero (0). You will not be able to submit the application if zero (0) is not entered in Step 1.
- In Step 2: Response Documents: the applicant should upload completed documents for the required “Design Professional Application” field. If the project has SDB/VBE participation goals, there will be required fields for submitting those completed documents. They should be uploaded in the “SDB Participation Submittal (Professional)” field, and the “VBE Participation Submittal (Professional)” field, respectively.
- In Step 3: Additional Required Info: The applicant should leave the “Qualifications” field empty. If information is added in the Qualification text box, it will not be considered.

After all required documents have been uploaded, the applicant is to click on the “Submit” button in the upper left side of the Response Form tab to submit the documents. An e-mail confirmation will be issued with a log-in, should the applicant wish to change the submission prior to the Application deadline.

11. EQUITABLE DISTRIBUTION OF CONTRACTS

DGS Capital Programs will review the firm’s project application and an existing data base of Selections for the past five years. Based on this information, DGS Capital Programs will assign a percentage of points in this category to the Applicant for the number of contracts awarded and dollar value of the awarded contracts. Applicants with a higher number of past and present projects and/or higher contract values, will receive less points.

12. PARTICULAR CAPABILITY

Each Selections Committee member will independently review and evaluate the firm’s application for the project and based on that information, assign a percentage of points to each sub-factor related to capability. To determine the appropriate score to be assigned to each evaluation factor, the Committee members will use their professional expertise and business judgment. Firms and consulting firms demonstrating firm and key personnel’s experience with similar type projects can receive a higher percentage of points. Firms that are experienced with multi-prime contracts, have worked together in the past, and have proposed comprehensive teams, may also receive higher percentages of points for these factors.

13. GEOGRAPHIC PROXIMITY

DGS Capital Programs will review the firm’s project application and with the assistance of an on-line mapping service, calculate the shortest distance from the project site to the firm’s project office. Based on this information DGS Capital Programs will assign a percentage of points in this category to the firm based on proximity. Firms in close proximity will receive a higher percentage of points than those farther away.

14. NECESSARY PERSONNEL

Each Selections Committee member will independently review and evaluate the firm’s application for the project and based on the information provided, assign a percentage of points to each sub-factor related to personnel. To determine the appropriate score to be assigned to each evaluation factor, the Committee members will use their professional expertise and business judgment. Firms demonstrating firm’s ability and consulting firm’s ability to provide sufficient and experienced personnel will receive a higher percentage of points.

15. PROFESSIONAL EVALUATION

DGS Capital Programs will periodically evaluate its design professionals throughout the course of a capital project and include them in a database of professional performance scores. The scores of firms that work on multiple DGS projects will be averaged together over a three-year period prior to the application due date. Two hundred points (200) shall be assigned to this category. An applicant that has not worked for DGS or has no score in the database will receive one hundred (100) points. Applicants with better performance will receive a higher number of points; applicants with poorer performance will receive less points. DGS Capital Programs will not retroactively score a professional prior to the establishment of the database.

16. NOTICE OF SELECTION

Upon receiving the Secretary's confirmation of the recommended applicant, the DGS Portfolio Manager will forward the Secretary's appointment letter via e-mail to the applicant. The DGS Design Project Manager (DPM) will then initiate Negotiations for certain aspects of the Professional Agreement. DGS Capital Programs shall post the successful firm's name on DGS' website.

17. NOTIFICATION TO UNSUCCESSFUL PROPOSERS

DGS Capital Programs will notify the unsuccessful Applicant(s) in writing promptly after their applications are eliminated from consideration.

18. CONTRACTOR RESPONSIBILITY PROGRAM (CRP)

DGS Capital Programs will conduct periodic CRP obligation and performance checks of the applicants at various times in the selection and negotiation processes. This may include, but is not limited to:

- Receipt of Applications
- Recommendation to Award
- Execution of Agreement

Upon receipt of applications, DGS Capital Programs will review CRP to determine an applicant's tax liabilities or other Commonwealth obligations and performance regarding integrity and reliability to assure good faith performance on a contract. Any unsatisfactory or deficient performance issues may result in the applicant being rejected as non-responsible.

19. DGS RIGHTS RESERVED

DGS Capital Programs reserves the right to change these Guidelines and they will be posted on the DGS website. If changes are made to the Guidelines, the new Guidelines will apply only to Selections Notice of Public Meetings issued after the date the changes are posted on the website. In addition, DGS Capital Programs reserves the right to change the Application for Professional Selections and Scoring Matrix documents. The amended Application for Professional Selections and Scoring Matrix documents will be posted on the DGS website.

END OF TEXT

EXHIBIT A - SELECTIONS SCORING MATRIX

PROJECT NO:	PROJECT TITLE:	DATE:		
	COUNTY:			
FIRM NAME:		SAP NO:		
Procurement Code Section	Selection Criteria Description	Points Possible	Percent Awarded	Points Awarded
	SCORING SUMMARY - 1000 Point Total		0%	
A. 905e(2)(i)	Equitable Distribution of Contracts		0%	
	Firms' number of DGS/Commonwealth contracts within the last five years:			
	Firm's total fee award for DGS/Commonwealth projects within the last five years:			
B. 905e(2)(ii)	Particular Capability		0%	
	Firm's experience with projects of similar size, scope, and complexity including among other factors, public bidding:			
	Consultant team's experience with projects of similar size, scope, and complexity including among other factors, public bidding:			
	Firm's past experience working with the proposed consultant team:			
C. 905e(2)(iii)	Geographic Proximity		0%	
	Distance from firm's project office to project site:			
D. 905e(2)(iv)	Necessary Available Personnel		0%	
	Adequacy of the proposed project team including among other factors, sufficient number of staff for both the prime professional and key consultants:			
	Proposed project team leadership's experience managing projects of similar size, scope, and complexity:			
E. 905e(2)(v)	Other Relevant Circumstances (200 required)	20	0%	
	Professional Evaluation – Three year average of DGS Capital Programs evaluation of the firm's performance on DGS capital projects:	20		
<p>The final scores for each section will be determined by giving the maximum number of points available per section to the applicant with the highest raw score. All other applicant scores will be pro-rated.</p>				

EXHIBIT B

DESIGN PROFESSIONAL SELECTIONS APPLICATION FOR SPECIFIC PROJECT

Section 1 – Project Information					
PROJECT NUMBER:		PROJECT TITLE:			
Section 2 – Firm General Information					
FIRM NAME:			SAP NUMBER:		
PREDESSOR FIRM(S) AND/OR ADDITIONAL OPERATIONAL NAMES (within 5 years):					
CONTACT PERSON:		TITLE:		E-MAIL ADDRESS:	
STREET ADDRESS:			CITY/STATE:		ZIP CODE:
PHONE NUMBER:	COUNTY:	TOTAL NUMBER OF EMPLOYEES POTENTIALLY ASSIGNED PROJECT RESPONSIBILITIES:		NUMBER OF EMPLOYEES ASSIGNED AT THE OFFICE PERFORMING THE MAJORITY OF THE WORK:	
ADDRESS OF THE OFFICE PERFORMING THE MAJORITY OF THE WORK:			FIRM'S PAST EXPERIENCE WITH MULTI-PRIME CONSTRUCTION PROJECTS: <input type="checkbox"/> YES <input type="checkbox"/> NO		
TYPE OF FIRM: (Indicate all that apply) ARCHITECT ENGINEER ARCHITECT/ENGINEER ENGINEER/ARCHITECT JV OTHER (If Other, please specify)			DISTANCE FROM FIRM'S PROJECT OFFICE TO PROJECT SITE:		
Section 3 – Design Team Information					
LIST CONSULTANTS WHO WILL BE RETAINED TO ASSIST IN THE DESIGN PROCESS					
FIRM NAME:			LOCATION OF THE OFFICE PERFORMING THE MAJORITY OF THE WORK:		
NUMBER OF PROJECTS COMPLETED TOGETHER (within 10 years):			TOTAL DOLLAR VALUE OF PROJECTS COMPLETED TOGETHER (within 10 years) : \$		
DESCRIBE ANTICIPATED SERVICES AND PROPOSED RESPONSIBILITIES TO BE PROVIDED FOR THIS PROJECT:					
LIST PAST PROJECTS COMPLETED TOGETHER BY BOTH THE CONSULTANT AND THE LEAD FIRM THAT ARE SIMILAR TO THE PROPOSED PROJECT. PROVIDE PROJECT SIZE, YEAR CONSTRUCTION WAS COMPLETED, AND TOTAL CONSTRUCTION COST (Maximum of Three):					
FIRM'S PAST EXPERIENCE WITH MULTI-PRIME CONSTRUCTION PROJECTS: <input type="checkbox"/> YES <input type="checkbox"/> NO			TOTAL NUMBER OF EMPLOYEES POTENTIALLY ASSIGNED PROJECT RESPONSIBILITIES:		
NUMBER OF EMPLOYEES AT THE OFFICE PERFORMING THE MAJORITY OF THE WORK:			DISTANCE FROM OFFICE PERFORMING THE MAJORITY OF THE WORK TO PROJECT SITE:		
TELEPHONE NUMBER FOR THE OFFICE PERFORMING THE MAJORITY OF THE WORK:					
TYPE OF FIRM: (Indicate all that apply) <input type="checkbox"/> ARCHITECT <input type="checkbox"/> ENGINEER <input type="checkbox"/> ARCHITECT/ENGINEER <input type="checkbox"/> ENGINEER/ARCHITECT <input type="checkbox"/> JV <input type="checkbox"/> OTHER (If Other, please specify):					

LIST CONSULTANTS WHO WILL BE RETAINED TO ASSIST IN THE DESIGN PROCESS – CONTINUED:	
FIRM NAME:	LOCATION OF THE OFFICE PERFORMING THE MAJORITY OF THE WORK:
NUMBER OF PROJECTS COMPLETED TOGETHER (within 10 years):	TOTAL DOLLAR VALUE OF PROJECTS COMPLETED TOGETHER (within 10 years) : \$
DESCRIBE ANTICIPATED SERVICES AND PROPOSED RESPONSIBILITIES TO BE PROVIDED FOR THIS PROJECT:	
LIST PAST PROJECTS COMPLETED TOGETHER BY BOTH THE CONSULTANT AND THE LEAD FIRM THAT ARE SIMILAR TO THE PROPOSED PROJECT. PROVIDE PROJECT SIZE, YEAR CONSTRUCTION WAS COMPLETED, AND TOTAL CONSTRUCTION COST (Maximum of Three):	
FIRM'S PAST EXPERIENCE WITH MULTI-PRIME CONSTRUCTION PROJECTS: <input type="checkbox"/> YES <input type="checkbox"/> NO	TOTAL NUMBER OF EMPLOYEES POTENTIALLY ASSIGNED PROJECT RESPONSIBILITIES:
NUMBER OF EMPLOYEES AT THE OFFICE PERFORMING THE MAJORITY OF THE WORK:	DISTANCE FROM THE OFFICE PERFORMING THE MAJORITY OF THE WORK TO PROJECT SITE:
TELEPHONE NUMBER FOR THE OFFICE PERFORMING THE MAJORITY OF THE WORK:	
TYPE OF FIRM: (Indicate all that apply) <input type="checkbox"/> ARCHITECT <input type="checkbox"/> ENGINEER <input type="checkbox"/> ARCHITECT/ENGINEER <input type="checkbox"/> ENGINEER/ARCHITECT <input type="checkbox"/> JV <input type="checkbox"/> OTHER (If Other, please specify)	
FIRM NAME:	LOCATION OF THE OFFICE PERFORMING THE MAJORITY OF THE WORK:
NUMBER OF PROJECTS COMPLETED TOGETHER (within 10 years):	TOTAL DOLLAR VALUE OF PROJECTS COMPLETED TOGETHER (within 10 years) : \$
DESCRIBE ANTICIPATED SERVICES AND PROPOSED RESPONSIBILITIES TO BE PROVIDED FOR THIS PROJECT:	
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FIRM'S PAST EXPERIENCE WITH MULTI-PRIME CONSTRUCTION PROJECTS: <input type="checkbox"/> YES <input type="checkbox"/> NO	TOTAL NUMBER OF EMPLOYEES POTENTIALLY ASSIGNED PROJECT RESPONSIBILITIES:
NUMBER OF EMPLOYEES AT OFFICE PERFORMING THE MAJORITY OF THE WORK:	DISTANCE FROM THE OFFICE PERFORMING THE MAJORITY OF THE WORK TO PROJECT SITE:
TELEPHONE NUMBER FOR THE OFFICE PERFORMING THE MAJORITY OF THE WORK:	
TYPE OF FIRM: (Indicate all that apply) <input type="checkbox"/> ARCHITECT <input type="checkbox"/> ENGINEER <input type="checkbox"/> ARCHITECT/ENGINEER <input type="checkbox"/> ENGINEER/ARCHITECT <input type="checkbox"/> JV <input type="checkbox"/> OTHER (If Other, please specify)	

LIST CONSULTANTS WHO WILL BE RETAINED TO ASSIST IN THE DESIGN PROCESS – CONTINUED:	
FIRM NAME:	LOCATION OF THE OFFICE PERFORMING THE MAJORITY OF THE WORK:
NUMBER OF PROJECTS COMPLETED TOGETHER (within 10 years):	TOTAL DOLLAR VALUE OF PROJECTS COMPLETED TOGETHER (within 10 years) : \$
DESCRIBE ANTICIPATED SERVICES AND PROPOSED RESPONSIBILITIES TO BE PROVIDED FOR THIS PROJECT:	
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FIRM'S PAST EXPERIENCE WITH MULTI-PRIME CONSTRUCTION PROJECTS: <input type="checkbox"/> YES <input type="checkbox"/> NO	TOTAL NUMBER OF EMPLOYEES POTENTIALLY ASSIGNED PROJECT RESPONSIBILITIES:
NUMBER OF EMPLOYEES AT THE OFFICE PERFORMING THE MAJORITY OF THE WORK:	DISTANCE FROM THE OFFICE PERFORMING THE MAJORITY OF THE WORK TO PROJECT SITE:
TELEPHONE NUMBER FOR THE OFFICE PERFORMING THE MAJORITY OF THE WORK:	
TYPE OF FIRM: (Indicate all that apply) <input type="checkbox"/> ARCHITECT <input type="checkbox"/> ENGINEER <input type="checkbox"/> ARCHITECT/ENGINEER <input type="checkbox"/> ENGINEER/ARCHITECT <input type="checkbox"/> JV <input type="checkbox"/> OTHER (If Other, please specify)	
FIRM NAME:	LOCATION OF THE OFFICE PERFORMING THE MAJORITY OF THE WORK:
NUMBER OF PROJECTS COMPLETED TOGETHER (within 10 years):	TOTAL DOLLAR VALUE OF PROJECTS COMPLETED TOGETHER (within 10 years) : \$
DESCRIBE ANTICIPATED SERVICES AND PROPOSED RESPONSIBILITIES TO BE PROVIDED FOR THIS PROJECT:	
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FIRM'S PAST EXPERIENCE WITH MULTI-PRIME CONSTRUCTION PROJECTS: <input type="checkbox"/> YES <input type="checkbox"/> NO	TOTAL NUMBER OF EMPLOYEES POTENTIALLY ASSIGNED PROJECT RESPONSIBILITIES:
NUMBER OF EMPLOYEES AT THE OFFICE PERFORMING THE MAJORITY OF THE WORK:	DISTANCE FROM THE OFFICE PERFORMING THE MAJORITY OF THE WORK TO PROJECT SITE:
TELEPHONE NUMBER FOR THE OFFICE PERFORMING THE MAJORITY OF THE WORK:	
TYPE OF FIRM: (Indicate all that apply) <input type="checkbox"/> ARCHITECT <input type="checkbox"/> ENGINEER <input type="checkbox"/> ARCHITECT/ENGINEER <input type="checkbox"/> ENGINEER/ARCHITECT <input type="checkbox"/> JV <input type="checkbox"/> OTHER (If Other, please specify)	

Section 4 – Key Personnel		
LIST INDIVIDUALS FOR BOTH THE FIRM AND CONSULTING FIRMS WHO WILL BE RESPONSIBLE FOR LEADING THE DESIGN OF THIS PROJECT. ANYONE WITH A PENNSYLVANIA PROFESSIONAL REGISTRATION MUST COMPLETE THE REGISTRATION INFORMATION; IF NOT REGISTERED IN PA., INDICATE STATE(S) IN WHICH THEY ARE REGISTERED.		
NAME:	FIRM:	
REGISTRATION #:	REGISTRATION EXPIRATION:	
OFFICE LOCATION WHILE ON THIS PROJECT:	NUMBER OF YEARS EMPLOYED BY FIRM:	TOTAL NUMBER OF YEARS LICENSED:
SPECIFIC ROLE/RESPONSIBILITY FOR THIS PROJECT:		
SIMILAR PROJECT WORK EXPERIENCE & QUALIFICATIONS:		
SPECIALTY/DISCIPLINE:		
DEGREE/CERTIFICATION:	YEAR GRADUATED:	INSTITUTION:
NAME:	FIRM:	
REGISTRATION #:	REGISTRATION EXPIRATION:	
OFFICE LOCATION WHILE ON THIS PROJECT:	NUMBER OF YEARS EMPLOYED BY FIRM:	TOTAL NUMBER OF YEARS LICENSED:
SPECIFIC ROLE/RESPONSIBILITY FOR THIS PROJECT:		
SIMILAR PROJECT WORK EXPERIENCE & QUALIFICATIONS:		
SPECIALTY/DISCIPLINE:		
DEGREE/CERTIFICATION:	YEAR GRADUATED:	INSTITUTION:
NAME:	FIRM:	
REGISTRATION #:	REGISTRATION EXPIRATION:	
OFFICE LOCATION WHILE ON THIS PROJECT:	NUMBER OF YEARS EMPLOYED BY FIRM:	TOTAL NUMBER OF YEARS LICENSED:
SPECIFIC ROLE/RESPONSIBILITY FOR THIS PROJECT:		
SIMILAR PROJECT WORK EXPERIENCE & QUALIFICATIONS:		
SPECIALTY/DISCIPLINE:		
DEGREE/CERTIFICATION:	YEAR GRADUATED:	INSTITUTION:
NAME:	FIRM:	
REGISTRATION #:	REGISTRATION EXPIRATION:	
OFFICE LOCATION WHILE ON THIS PROJECT:	NUMBER OF YEARS EMPLOYED BY FIRM:	TOTAL NUMBER OF YEARS LICENSED:
SPECIFIC ROLE/RESPONSIBILITY FOR THIS PROJECT:		
SIMILAR PROJECT WORK EXPERIENCE & QUALIFICATIONS:		
SPECIALTY/DISCIPLINE:		
DEGREE/CERTIFICATION:	YEAR GRADUATED:	INSTITUTION:

LIST INDIVIDUALS FOR BOTH THE FIRM AND CONSULTING FIRMS WHO WILL BE RESPONSIBLE FOR LEADING THE DESIGN OF THIS PROJECT. ANYONE WITH A PENNSYLVANIA PROFESSIONAL REGISTRATION MUST COMPLETE THE REGISTRATION INFORMATION; IF NOT REGISTERED IN PA., INDICATE STATE(S) IN WHICH THEY ARE REGISTERED.

NAME:		FIRM:	
REGISTRATION #:		REGISTRATION EXPIRATION:	
OFFICE LOCATION WHILE ON THIS PROJECT:	NUMBER OF YEARS EMPLOYED BY FIRM:	TOTAL NUMBER OF YEARS LICENSED:	
SPECIFIC ROLE/RESPONSIBILITY FOR THIS PROJECT:			
SIMILAR PROJECT WORK EXPERIENCE & QUALIFICATIONS:			
SPECIALTY/DISCIPLINE:			
DEGREE/CERTIFICATION:	YEAR GRADUATED:	INSTITUTION:	

NAME:		FIRM:	
REGISTRATION #:		REGISTRATION EXPIRATION:	
OFFICE LOCATION WHILE ON THIS PROJECT:	NUMBER OF YEARS EMPLOYED BY FIRM:	TOTAL NUMBER OF YEARS LICENSED:	
SPECIFIC ROLE/RESPONSIBILITY FOR THIS PROJECT:			
SIMILAR PROJECT WORK EXPERIENCE & QUALIFICATIONS:			
SPECIALTY/DISCIPLINE:			
DEGREE/CERTIFICATION:	YEAR GRADUATED:	INSTITUTION:	

NAME:		FIRM:	
REGISTRATION #:		REGISTRATION EXPIRATION:	
OFFICE LOCATION WHILE ON THIS PROJECT:	NUMBER OF YEARS EMPLOYED BY FIRM:	TOTAL NUMBER OF YEARS LICENSED:	
SPECIFIC ROLE/RESPONSIBILITY FOR THIS PROJECT:			
SIMILAR PROJECT WORK EXPERIENCE & QUALIFICATIONS:			
SPECIALTY/DISCIPLINE:			
DEGREE/CERTIFICATION:	YEAR GRADUATED:	INSTITUTION:	

Section 5 – Firm’s Recent Commonwealth Project Experience (Active and Complete)
LIST ALL DGS CAPITAL PROJECTS IN THE LAST 5 YEARS:

DGS PROJECT NUMBER	PROJECT TITLE	BID TYPE (Low Bid/Best Value, etc.)	TOTAL PROJECT DESIGN FEE	AGENCY/ INSTITUTION	STATUS

LIST ALL OTHER RELEVANT COMMONWEALTH PROJECTS WITHIN THE LAST 5 YEARS:

PROJECT NUMBER	PROJECT TITLE	BID TYPE (Low Bid/Best Value, etc.)	TOTAL PROJECT DESIGN FEE	AGENCY/ INSTITUTION	STATUS

Section 6 – Relevant Experience

DESCRIBE UP TO 3 PROJECTS, COMPLETED WITHIN THE LAST 10 YEARS, FOR ANY TYPE OF CLIENT THAT BEST ILLUSTRATES YOUR FIRM'S QUALIFICATIONS TO DESIGN THIS SPECIFIC PROJECT. DO NOT LIST PROJECTS PERFORMED ONLY BY CONSULTANTS:

PROJECT NAME:

LOCATION:	CLIENT NAME:
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SERVICES PERFORMED BY THE FIRM ON THIS PROJECT. DIFFERENTIATE BETWEEN WORK COMPLETED AS A CONSULTANT TO ANOTHER FIRM AND WORK PERFORMED AS THE LEAD DESIGN FIRM:

PROJECT DESCRIPTION:

CONSTRUCTION COMPLETION DATE/STATUS:

TOTAL AWARDED CONSTRUCTION CONTRACTS: \$	TOTAL FINAL CONSTRUCTION CONTRACTS: \$
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CLIENT CONTACT NAME:	TITLE:
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CONTACT TELEPHONE NUMBER:	CONTACT E-MAIL ADDRESS:
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COMMENTS:

PROJECT NAME:

LOCATION:	CLIENT NAME:
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SERVICES PERFORMED BY THE FIRM ON THIS PROJECT. DIFFERENTIATE BETWEEN WORK COMPLETED AS A CONSULTANT TO ANOTHER FIRM AND WORK PERFORMED AS THE LEAD DESIGN FIRM:

PROJECT DESCRIPTION:

CONSTRUCTION COMPLETION DATE/STATUS:

TOTAL AWARDED CONSTRUCTION CONTRACTS: \$	TOTAL FINAL CONSTRUCTION CONTRACTS: \$
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CLIENT CONTACT NAME:	TITLE:
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CONTACT TELEPHONE NUMBER:	CONTACT E-MAIL ADDRESS:
----------------------------------	--------------------------------

COMMENTS:

Section 6 – Relevant Experience

DESCRIBE UP TO 3 PROJECTS, COMPLETED WITHIN THE LAST 10 YEARS, FOR ANY TYPE OF CLIENT THAT BEST ILLUSTRATES YOUR FIRM'S QUALIFICATIONS TO DESIGN THIS SPECIFIC PROJECT. **DO NOT LIST PROJECTS PERFORMED ONLY BY CONSULTANTS:**

PROJECT NAME:

LOCATION:	CLIENT NAME:
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SERVICES PERFORMED BY THE FIRM ON THIS PROJECT. DIFFERENTIATE BETWEEN WORK COMPLETED AS A CONSULTANT TO ANOTHER FIRM AND WORK PERFORMED AS THE LEAD DESIGN FIRM:

PROJECT DESCRIPTION:

CONSTRUCTION COMPLETION DATE/STATUS:

TOTAL AWARDED CONSTRUCTION CONTRACTS: \$	TOTAL FINAL CONSTRUCTION CONTRACTS: \$
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CLIENT CONTACT NAME:	TITLE:
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CONTACT TELEPHONE NUMBER:	CONTACT E-MAIL ADDRESS:
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COMMENTS:

Section 7 - Small Diverse Business (SDB) and Veteran Business Enterprise (VBE) Participation.

FIRMS ARE EXPECTED TO MEET SMALL DIVERSE BUSINESS (SDB) AND VETERAN BUSINESS ENTERPRISE (VBE) PARTICIPATION GOALS. SDB AND VBE PARTICIPATION SUBMITTAL FORMS ARE AVAILABLE IN THE E-BUILDER SOLICITATION FOLDER. SDB AND VBE PARTICIPATION SUBMITTAL FORMS MUST BE DOWNLOADED, COMPLETED, AND UPLOADED IN THE E-BUILDER BIDDING MODULE AS SEPARATE FILES AT THE SAME TIME THAT THE APPLICATION IS SUBMITTED. FAILURE TO UPLOAD THE SDB AND VBE PARTICIPATION FORMS WILL RESULT IN REJECTION OF THIS APPLICATION AS NON-RESPONSIVE AND WILL RESULT IN THE APPLICATION BEING DISQUALIFIED.

Section 8 - Other Relevant Information

YOU MAY USE THIS SPACE TO PROVIDE ANY ADDITIONAL COMMENTS OR DESCRIPTIONS OF RELEVANT INFORMATION SUPPORTING YOUR QUALIFICATIONS.

Section 8 - Other Relevant Information

*YOU MAY USE THIS SPACE TO PROVIDE ANY ADDITIONAL COMMENTS OR DESCRIPTIONS OF RELEVANT INFORMATION SUPPORTING YOUR QUALIFICATIONS. - **CONTINUED***

Empty space for providing additional comments or descriptions of relevant information supporting qualifications.

CERTIFICATION AND SIGNATURE

My Firm believes we have the qualifications and capacity to provide Design Services for the project identified on Page 1. All of the information set forth on this form is accurate and true as of this date.

1. The Firm consents to the evaluation of its performance by the Department and understands that any such evaluation may be used in future selections. Furthermore, the Firm has notified our Consultants that their performance will be evaluated and they have consented to this evaluation; and
2. To the best knowledge of the person signing this form, the Firm, its affiliates, subsidiaries, officers, directors, and employees are not currently under investigation by any governmental agency and have not in the last four (4) years been convicted or found liable for any act prohibited by State or Federal law in any jurisdiction, involving conspiracy or collusion with respect to bidding or proposing on any public contract, except as disclosed on this form; and
3. To the best knowledge of the person signing this, the Firm, except as otherwise disclosed, has no outstanding, delinquent obligations to the Commonwealth including, but not limited to, any state tax liability not being contested on appeal or other obligation of the Firm that is owed to the Commonwealth; and
4. The Firm is not currently under suspension or debarment by the Commonwealth, or any other state, or federal government; and
5. The Firm has not, under separate contract with DGS or any other agency, made any recommendations to DGS or any other agency concerning the need for the services described for this project; and
6. The Firm, by submitting this form, authorizes all Commonwealth agencies to release to the Commonwealth information related to liabilities to the Commonwealth, including, but not limited to, taxes, unemployment compensation, and workers' compensation liabilities; and
7. Until the Firm receives a fully executed contract from DGS there is no legal and valid contract, in law or in equity; and
8. The Firm agrees that we have familiarized ourselves with the Commonwealth of Pennsylvania contract provisions set forth throughout the Agreement for Professional Services and the General Conditions to the Agreement for Professional Services some of which are located on the DGS website.

I state that _____ (Name of Firm) submits this form and understands and acknowledges that the above representations are material and important, and will be relied upon by the Selections Committee and the Department of General Services in determining whether my Firm is selected for a design contract with the Commonwealth. I understand and my Firm understands that any written false statement in this application which we do not believe to be true is and shall be treated as fraudulent concealment from the Selections Committee and the Department of General Services of the true facts relating to the submission of this application. A misrepresentation shall be punishable under 18 Pa. C.S. §4904.

Business is an Individual or General Partnership:		
Witness:	Owner:	Date:
Business is a Limited Partnership:		
Witness:	Owner:	Date:
Business is a Corporation:		
Witness:	Owner:	Date:
Business is a Limited Liability Company:		
Witness:	Owner:	Date:
Business is a Limited Liability Partnership:		
Witness:	Owner:	Date:
Business is a Foreign General Partnership:		
Witness:	Owner:	Date:
Business is a Joint Venture:		
Witness:	Owner:	Date:

EXHIBIT C

Small Diverse Business (SDB) Participation Cover Sheet

Solicitation/Project #: _____

Issuing Agency: _____

Name of Procurement/Project: _____

SDB Participation Goal (for MBE, WBE, LGBTBE, DOBE, and SDVBE): _____%

Attachments:

- SDB-1 Instructions for Completing SDB Participation Submittal
- SDB-2 SDB Participation Submittal
- SDB-3 SDB Utilization Schedule
- SDB-4 Guidance for Documenting Good Faith Efforts to meet the SDB Participation goal
- SDB-5 Good Faith Efforts Documentation to Support Waiver Request of SDB Participation Goal

EXHIBIT D

Veteran Business Enterprise (VBE) Participation Cover Sheet

Solicitation/Project #: _____

Issuing Agency: _____

Name of Procurement/Project: _____

VBE Participation Goal (for VBE and SDVBE): _____ %

Attachments:

- VBE-1 Instructions for Completing VBE Participation Submittal
- VBE-2 VBE Participation Submittal
- VBE-3 VBE Utilization Schedule
- VBE-4 Guidance for Documenting Good Faith Efforts to meet the VBE participation goal
- VBE-5 Good Faith Efforts Documentation to Support Waiver Request of VBE Participation Goal

EXHIBIT E

STATEMENT OF CONFIDENTIALITY

This statement must be signed by all that require non-public information regarding this Selection Process.

To protect the integrity of the public procurement process and in order to ensure fairness in the evaluation of applications submitted in response to a Notice of Public Meeting, it is very important that non-public information and the contents of applications remain confidential throughout the evaluation process.

I certify that:

1. I will not divulge nor make known, in any manner whatsoever, to any person, other than a member of the Committee or other individual who has signed a confidentiality statement for the same Project, or to an investigatory or law enforcement authority, after consultation with the individual's Office of Chief Counsel, any information (which has not already been made available to the public or all interested Applicants) pertaining to any and all aspects of the Selection including but not limited to the Members of the Committee, discussions during the initial project review meeting, the contents of firms applications, the scoring method, points allotted, evaluator scores, costs, or any other non-public/confidential information regarding the Selections process.
2. I understand that unauthorized sharing of information may give an Applicant an unfair advantage over another Applicant and thereby render the process invalid.
3. I understand that if I divulge such information, I may be subject to disciplinary action, including termination of my employment with the Commonwealth.

By signing below, I acknowledge that I have read and understand the certifications set forth above in this Statement of Confidentiality.

Signature

Date

Name

Project Number

Employing Agency

EXHIBIT F

STATEMENT OF NO CONFLICT OF INTEREST

To protect the integrity of the public procurement process, it is essential that applications be evaluated in an unbiased manner and without conflict of interest. You have been selected to participate as a member of the Selections Committee or Ad-Hoc Committee for the referenced project not only because of your technical expertise, but also because the Deputy Secretary and your supervisor are not aware of any bias, business or family relationships, or any other conflicts that could affect, or which could be perceived to affect, your fair, honest and impartial participation in the evaluation of proposals. As an evaluator you are expected to: 1) discharge your duties impartially so as to assure fair, competitive access to Commonwealth procurement by responsible contractors, and 2) conduct yourself in a manner which fosters public confidence in the integrity of the Commonwealth procurement process.

No Foreseeable Conflict of Interest or Bias

I certify that I, and to the best of my knowledge, my spouse, parent, child, brother or sister (“immediate family”), as defined in the *Public Official and Employee Ethics Act*, 65 Pa. C. S.A. §1102:

1. Are not current employees of any of the Applicants or listed consultants.
2. Are not directors, officers, owners, partners, agents, or representatives of any of the Applicants/consultants.
3. Do not hold any stock or any financial interest in any of the Applicants/consultants.

And, as stated in section 513(e) of the Commonwealth Procurement Code (62 Pa. C.S. §513(e):

4. I certify that I was not employed by an Applicant or consultant within the preceding two years.

I certify that I will NOT, during the Selections process:

1. Solicit or accept, directly or indirectly, any promise of future employment or business opportunity from, or engage, directly or indirectly, in any discussion of future employment or business opportunity with, any director, officer, owner, partner, employee, representative, agent or consultant of an Applicant or their proposed subcontractors.
2. Ask for, demand, exact, solicit, seek, accept, receive, or agree to receive, directly or indirectly, any money, gratuity, or other thing of value from any director, officer, owner, partner, employee, representative, agent, or consultant of an Applicant or their proposed subcontractors for this project. I will advise my immediate family that the acceptance of any such gratuity may be imputed to me as a violation, and must therefore be avoided by them.

I understand that my obligations under this certification are of a continuing nature. I will immediately seek the advice of the Department of General Services' Office of Chief Counsel and report the circumstances to my supervisor and to the Deputy Secretary of Capital Programs if at any time during the Selection process:

1. I receive a contact from an Applicant that submitted a application, or their proposed subcontractors, concerning employment or other business opportunity.
2. I receive an offer of a gift from an Applicant that submitted a proposal, or their proposed subcontractors.
3. I encounter circumstances where my participation might result in a real, apparent, or potential conflict.

Exceptions

Exceptions to the certifications that I have made in completing this certification are listed below. *If additional space is needed, attach additional pages and initial each page of the addition.*

Check here if there are no exceptions to the certifications.

Signature and Certification

I have read and understand the certifications and understanding set out in this document. I further understand that by signing this document, I make the certifications and confirm the understandings herein subject to the provisions and penalties of *18 Pa. C.S. Section 4904 (unsworn falsification to authorities)*.

Signature **Date**

Name (print)

Project Number

Employing Agency