## **ASAM Technical Assistance Series**

# Guiding Principles of The ASAM Criteria



# Reminders

- Questions should be submitted 7 days in advance of the call to <u>RA-DAASAM@pa.gov</u>. Please feel free to submit questions in the chat.
- This call is being recorded. Please exit now if you do not want to be recorded. You will be able to review the video in its entirety on the DDAP webpage following this event.
- Suggestions for future call topics should be submitted to <u>RA-DAASAM@pa.gov</u>.



# Disclaimers

Alignment with The ASAM Criteria is required of drug and alcohol treatment providers that receive funding for providing treatment services under agreements with Single County Authorities and/or Managed Care Organizations.

DDAP stresses the importance of reviewing the ASAM Criteria text in its entirety, attending the ASAM two-day training, and reviewing the resources available through DDAP including trainings and documents.



## **Today's Presenters**

Brandi Simone, D&A Program Representative at DDAP Amanda Madison, D&A Program Representative at DDAP Gloria Gallagher, D&A Program Representative at DDAP



# Learning Objectives

- Identify and examine the Guiding Principles of the ASAM Criteria
- Consider how to implement the Guiding Principles into practice
- Identify the paradigmatic shifts in SUD treatment
- Improve the treatment approach to individuals served



# The Guiding Principles of the ASAM Criteria

- Foundation of the ASAM Criteria
- Used to Implement and Apply services
- Promote good stewardship of resources
- Increase access to care
- Successful recovery



## Moving from One-dimensional to Multidimensional Assessment



- Holistic
- Six Dimensions Biopsychosocial
- Used for All Service Planning
- Recovery-oriented



## Moving from One-dimensional to Multidimensional Assessment

Six Dimensions of Multidimensional Assessment

- 1. Acute Intoxication and/or Withdrawal Potential
- 2. Biomedical Conditions and Complications
- 3. Emotional, Behavioral, or Cognitive Conditions and Complications
- 4. Readiness to Change
- 5. Relapse, Continued Use, or Continued Problems Potential
- 6. Recovery and Living Environment

ASAM (2013), starting on page 43



## Moving from program-driven to clinically driven and outcomesdriven treatment

# Program-driven: Diagnosis alone drives: Treatment plan, level of care, and length of stay Individualized, assessment-driven treatment: Program Relapse Priorities patient's severity of illness and level of function

Match treatment services to the needs over a continuum of care

### **Outcomes-driven treatment:**

 Focus on "during treatment" feedback on outcomes, patient engagement, and therapeutic alliance



# Moving from fixed length of stay to variable length of service

- Length of service MUST be INDIVIDUALIZED
- Patient's progress in all six dimensions should be continually assessed
- \*\* Matrix for Matching Severity and Level of Function with Type and Intensity of Services (p.69 3<sup>rd</sup> edition)



# Moving from a limited number of discrete levels of care to a broad and flexible continuum of care

- The level of care provided should be the *least intensive* that can still meet all treatment objectives
- Each level of care represent *points* along a *continuum* of treatment services
- Moving towards access to all levels of care for the population



# Moving from a limited number of discrete levels of care to a broad and flexible continuum of care

#### Patient enters treatment at an Outpatient LOC (1)

After 6 weeks in care, patient is assessed for needing Partial Hospitalization Services (2.5) After two weeks of PHP, patient is assessed as most appropriate for Clinically Managed Medium-intensity residential (3.5) to achieve goals

Patients completes the 3.5 LOC in 7 weeks. Patient is assessed and referred to Intensive Outpatient (2.1) LOC Patient completes 5 weeks in 2.1 Intensive Outpatient and is assessed as being appropriate for a lower intensity of services. Patient returns to Outpatient LOC (1)



# Moving from a limited number of discrete levels of care to a broad and flexible continuum of care



After completion of 3.5 LOC agency refers patient back to agency #1 to continue services at a less intensive LOC AGENCY #1 Patient is assessed for an Outpatient LOC and continues at agency #1 at the less intensive LOC

AGENCY #1



# Identifying Adolescent-Specific Needs

- The expression and treatment of adolescents vary significantly from adults when treating substance use disorder
- Engaging with adolescents requires awareness into the specific needs of the population and how to focus treatment on those needs to promote long term abstinence
- Modifications in treatment as needed



## Identifying Adolescent-Specific Needs



# **Risk Factors**

# Considerations



# Identifying Adolescent-Specific Needs

- Considerations with adolescent patients should include:
- Impact on self-identity
- Not responsive to long term consequences
- Require more external assistance



# Clarifying the Goals of Treatment

- *Tailored* to the needs of the individual
- *Guided* by the individual treatment plan
- Developed in consultation with the patient
- *Helpful* in establishing a therapeutic alliance and therefore contributing significantly to treatment outcomes



Moving away from using previous "treatment failure" as an admission prerequisite

- Moving away from "Treatment failure"
- Having to "fail first" puts the patient at risk
- When the addictive disorder PROGRESSES DEATH
- Two assumptions being made when using "treatment failure"
  1) The disorder is acute rather than chronic
  2) "The patient was not ready"



## Moving Toward an Interdisciplinary, Team Approach to Care

- Healthcare Reform
- Patient-centered Healthcare
- Includes General Medical Care Professionals
- Co-occurring
- Inclusion of Peers and Peer Supports



### Moving Toward an Interdisciplinary, Team Approach to Care



# Clarifying the Role of the Physician



# Clarifying the Role of the Physician

- Role at All Levels of Care
- General Medical and Psychiatric Triage
- Psychiatrists and Addiction Psychiatrists
- Team Approach is Necessary



## Focusing on Treatment Outcomes

## Considerations for increased focus on OUTCOMES

- Reimbursements
- Continued stay reviews/funding approach
- Patient engagement, outcome driven services
- The GOLDEN THREAD
- Moving towards trends in disease and illness management



## Engaging with "Informed Consent"



- Shared decision making
- Clear information to patients
- Provides awareness



## Clarifying "Medical Necessity"

- Biopsychosocial to determine Severity
- Encompasses all Six Dimensions
- Third-party payors and Managed Care Organizations
- Necessity of Care, Clinical Necessity, or Clinical Appropriateness



## Incorporating ASAM's definition of addiction

History- Evolution of the Definition

Short Version (3<sup>rd</sup> Edition ASAM Text)

- Addiction is characterized by :
  - a. Inability to consistently Abstain;
  - b. Impairment in **B**ehavioral control;
  - c. Craving; or increased "hunger" for drugs or rewarding experiences;
  - d. Diminished recognition of significant problems with one's behaviors and interpersonal relationships; and
  - e. A dysfunctional Emotional response





## In Closing



- □ Moving from one-dimensional to **multidimensional assessment**
- □ Moving from program-driven to **clinically driven and outcomes-driven treatment**
- □ Moving from fixed length of stay to variable length of service
- □ Moving from a limited number of discrete levels of care to a **broad and flexible continuum of care**
- □ Identifying adolescent-specific needs
- Clarifying the goals of treatment
- □ Moving away from using previous "treatment failure" as an admission prerequisite
- □ Moving toward an interdisciplinary, team approach to care
- □ Clarifying the role of the physician
- □ Focusing on treatment outcomes
- □ Engaging with **"Informed Consent"**
- □ Clarifying "Medical Necessity"
- □ Incorporating ASAM's definition of addiction





## Topic = TBA

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